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Greenhouse Gas Mitigation

Issues for Indian Agriculture

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Contents

Executive Summary	vi
1. Introduction	1
2. Agriculture's Role in Greenhouse Gas Emissions and Mitigation	2
3. Assumptions and Data Sources	3
4. Effects of Midseason Drying on Methane Emissions	14
5. Effects of Fertilizer Type on N ₂ O Emissions	17
6. Emissions of CO ₂ from Groundwater Pumping	18
7. Carbon Sequestration Supply Curves and Efficiency of Payment Schemes	22
8. Conclusions	27
Technical Appendix A: N ₂ O Determinants	28
Technical Appendix B: IPCC N ₂ O Methodology	31
Technical Appendix C: India Fertilizer Use Projections	33
Technical Appendix D: Estimating the Contribution of Groundwater Irrigation Pumping to CO ₂ Emissions	37
References	47
Recent IFPRI Discussion Papers	48

List of Tables

Table 1. Greenhouse gas emissions, 2004 estimates (million mt, CO ₂ e)	1
Table 2. Global warming potential (CO ₂ e)	2
Table 3. Decision tree to allocate GLC 2000 and ISAM data to 1 km pixels	4
Table 4. Sensitivity analysis for different rates of N application, water regimes, and manure application affecting simulated rice yields, N uptake, and annual GHG emissions.	7
Table 5. Assumptions about GHG emissions and mitigation	8
Table 6. India carbon pool estimates, 2000 (million mt C)	10
Table 7. India agricultural GHG emissions, 2000 and 2050 (million mt CO ₂ e, IPCC assumptions unless otherwise noted)	10
Table 8. Trade unit values, average of 2001–2003	12
Table 9. Effects of midseason drying on irrigated-rice GHG emissions, 2000–2050.	15
Table 10. Irrigation water use by source, 2000–2050	19
Table 11. Carbon emissions from Indian groundwater pumping for irrigation, four scenarios (million mt, CO ₂ e)	20

Table 12. The effects on groundwater pumping of energy price increases.	21
Table 13. Simulation of payments for carbon sequestration, opportunity cost instrument.	24
Table 14. Simulation of payments for carbon sequestration, carbon price instrument.	25
Table 15. Share of total production lost with different instruments (percent).	26
Table A.1. Summary statistics of variables.	28
Table A.2. Regression results, determinants of N ₂ O emissions.	30
Table C1: Nutrient use by crop and management (kg/ha)	33
Table C2. Lookup table IMPACT crops and FAO crops.	34
Table C3. IMPACT production projections by crop, 2000-2050 (000 mt).	35
Table C4. India fertilizer use projections, 2000-2050 (million mt nutrient).	35
Table D1. CO ₂ emissions from Indian power plants	38
Table D2. Effective Efficiency of Indian Irrigation (liters consumed/liters pumped)	38
Table D3. Reference crop evapotranspiration rates for Indian FPU's (mm/hectare)	40
Table D4. Tubewell count, by state and type and area irrigated, 2000	41
Table D5. Irrigation water use by source, 2000-2050.	43
Table D6. Sensitivity analysis assumptions	43
Table D7. Carbon emissions from Indian groundwater pumping for irrigation, three scenarios (000 mt, C).	44

List of Figures

Figure 1. FPU's in India and neighboring countries.	5
Figure 2. Changes in soil carbon for different cropping systems, Futchimiram, Nigeria	6
Figure 3. Changes in soil carbon for different cropping systems, Lingampally village, India	6
Figure 4. Nitrogen yield curve, irrigated rice (Pathak et al. 2005) .	7
Figure 5. Methane emissions, irrigated rice (Pathak et al. 2005)	7
Figure 6. Carbon pool, above and below ground (mt C/ha)	11
Figure 7. CO ₂ e from CH ₄ and N ₂ O emissions from rice using Pathak et al. (2005) assumptions (CO ₂ e mt/ha)	11
Figure 8. Location-specific milled rice prices based on export unit values (US\$/mt).	12
Figure 9. Location-specific maize prices based on import unit values (US\$/mt).	12
Figure 10. Estimated net revenue per hectare per year for irrigated rice (US\$/ha).	13
Figure 11. Locations of changes in GHG emissions with midseason drying, 2000 (change in mt CO ₂ e/ha/year).	16

Figure 12. Carbon sequestration supply curve and the costs of alternate payments methods.	23
Figure 13. Costs and CO ₂ e with the opportunity cost instrument	25
Figure 14. Costs and CO ₂ e with the carbon price instrument	25
Figure 15. Locations of land use change with \$10 million annual payments, opportunity cost payment instrument	26
Figure 16. Locations of land use change with \$100 million annual payments, opportunity cost payment instrument	26
Figure D1. Effective Efficiency of Indian Irrigation.	39

EXECUTIVE SUMMARY

By some estimates, agricultural practices account for 20 percent of India's total greenhouse gas (GSG) emissions; thus, cost-effective reductions in agricultural emissions could significantly lower India's overall emissions.

We explore mitigation options for three agricultural sources of GHGs—methane (CH₄) emissions from irrigated rice production, nitrous oxide (N₂O) emissions from the use of nitrogenous fertilizers, and the release of carbon dioxide (CO₂) from energy sources used to pump groundwater for irrigation. We also examine how changes in land use would affect carbon sequestration. Although livestock-based methane emissions may be significant, we do not include them here, both because the data on livestock numbers and emissions are inadequate and technologies to reduce emissions are in early stages of development. We find great opportunities for cost-effective mitigation of GHGs in Indian agriculture, but caution that our results are based on a variety of data sources, some of which are of poor quality.

Emissions Estimates for 2000 and 2050

Using the International Food Policy Research Institute's IMPACT model, we estimate emissions today and in 2050, in carbon dioxide equivalent (CO₂e) units. Raising crops results in N₂O emissions from the use of nitrogenous fertilizers and CH₄ emissions from anaerobic decomposition of organic material typically associated with flooded irrigation techniques. In addition, groundwater pumping releases small amounts of CO₂ dissolved in water and much larger amounts from the energy sources used to lift the water to the surface.

Overall, N₂O emissions from all crop agriculture are the largest source of GHG emissions. This result is based on a straightforward application of the Intergovernmental Panel on Climate Change's (IPCC) standard accounting methodology, which is subject to great uncertainty (see Technical Appendix B: IPCC N₂O Methodology). We also use results from a study by Bhati et al. (2004) that are based on actual field estimates for irrigated rice. Using Bhati et al. (2004), N₂O emissions from irrigated rice were 26.9 million metric tonnes (mt) CO₂e in 2000 and will increase to 34.5 million mt CO₂e in 2050. Using the IPCC methodology, estimates of N₂O emissions are much lower; only 4.5 million mt CO₂e in 2000 and 5.8 million mt CO₂e in 2050. For all other crops, the IPCC methodology results in an estimated 85.5 million mt CO₂e emissions in 2000, increasing to 97.2 million mt CO₂e in 2050 (Table 7).

Focusing on groundwater pumping for irrigated rice, we find the resulting emissions from use of coal-fired electricity and diesel fuel are large, with an estimated release of 58.7 million mt CO₂e in 2000. Of this total, 95 percent comes from electric pumps using coal-fired generation. The remaining 5 percent is released by diesel-powered pumps (Table 11). Deep wells powered by electricity are the single largest source of CO₂ emissions from groundwater pumping. They account for 65 percent of the total in 2000 and 87 percent in 2050, as we assume most of the increase in irrigation water is supplied by deep wells. Finally, CH₄ emissions from irrigated rice are substantial, with 47.8 million mt CO₂e in 2000, increasing to 61.3 million mt CO₂e in 2050 (Table 7).

By combining these results, our estimate of the total CO₂e from these sources in 2000 is 148.7 to 218.9 million mt CO₂e. Total Indian GHG emissions reported by the World Resources Institute (cait.wri.org) in 2004 are 1,853 million mt CO₂e; agricultural emissions are 375 million mt CO₂e. Thus, our estimates for these agricultural activities range from 8.0 to 11.8 percent of total GHG emissions and from 39.6 to 58.4 percent of agricultural GHG emissions. We estimate that, without mitigation policies and programs, these sources will contribute 237.6 to 327.7 million mt CO₂e in 2050.

Estimating the Opportunity Costs of Mitigation Options

The next step is estimating the opportunity costs of various mitigation options. We explore these options: changing irrigation management techniques for irrigated rice, changing the fertilizer type, raising

the price of energy sources used in pumping groundwater, and paying farmers to adopt carbon sequestering management techniques.

Methane emissions. Methane emissions from irrigated rice can be reduced by temporarily draining the field during the growing period to allow aerobic decomposition. We estimate that with a single midseason drying, annual methane emissions would drop by about 18 percent with only a 1.5 percent yield decline. By contrast, with “business as usual,” the CO₂e from methane would increase by almost 25 percent by 2050 as production rises (Table 9). Implementing midseason drying on all irrigated rice areas would stabilize methane emissions at 2000 levels even as production grows substantially. The opportunity cost of the yield decline is about 4.4 percent of net revenue (or about \$213 million in 2000), but not all areas are affected equally, as Figure 11 shows. The lost revenue could potentially be made up by environmental service payments funded from the global carbon market.

N₂O emissions. Our analysis of the effects of fertilizer type on N₂O emissions has results that are similar to those used in the IPCC methodology. There are some promising indications that fertilizer type and crop choice influence N₂O emissions, but the statistical results are not strong enough to warrant empirical estimates. We are not able to provide quantitative estimates of the potential benefits of extension efforts in encouraging efficiency of fertilizer use, use of biofertilizers, manure management, and use of compost from agricultural and domestic waste programs, although such efforts would likely be important.

CO₂ emissions from groundwater pumping. Groundwater pumping requires energy and most of that energy in India comes from electricity generated from coal. A much smaller share uses diesel-powered pumps. We simulate the effects on water use and food production of a 100 percent increase in the price of diesel and 100 and 200 percent increases in the price of electricity (Table 12). A 100 percent increase in the diesel price reduces total water use by less than 1 percent and CO₂e emissions by slightly more than 1 percent. However, a 100 percent increase in the electricity price charged to the rural sector reduces water use by more than 8 percent and CO₂e emissions by 14 percent. There is almost no effect on crop production. In essence, the cost of the electricity price increase would be borne by farmers. It is likely that such a price increase would encourage adoption of more efficient water use practices, but we are not able to capture that in our modeling.

Pump efficiency has a substantial impact on estimated CO₂ emissions. Our baseline assumption is 30 percent energy use efficiency in both diesel and electric pumps. If pump efficiency is instead 20 percent, CO₂ emissions increase by 50 percent. Any technological improvements in pump efficiency would result in substantially lower emissions.

Environmental service payments to sequester carbon above and below ground. Changes in agricultural practices can increase carbon sequestered above and below ground, but might reduce farmer incomes. We analyzed the potential for using environmental service payments and where the most cost-effective locations would be, considering both an opportunity cost instrument (pay farmers just the opportunity cost of revenue foregone from adopting the sequestration practice) and a fixed-price-of-carbon instrument (pay farmers for every ton of carbon sequestered).

Perhaps the most important result is that the cost per mt of carbon sequestered is small over a large range of payments and additions to the carbon pool—well under \$1 per mt. However, this result is based on strong assumptions and should be considered preliminary until better data are made available. Depending on the payment instrument and the amount spent, our estimates of annual sequestration range from about 8 million mt (\$1 million spent annually with the opportunity cost instrument) to more than 500 million mt with expenditures of less than \$100 million per year. Production of high-value crops would be only slightly affected, but production of some low-value crops would see declines of more than 50 percent of 2000 production under high-payment scenarios. (See Table 13 and Figure 13 for the opportunity cost instrument results; Table 14 and Figure 14 show the carbon price instrument results. Table 15 reports production effects.)

Our findings suggest large potential for cost-effective GHG mitigation in Indian agriculture. Particularly promising are making changes to irrigation management techniques and reducing subsidies to agricultural electricity use to encourage water conservation and increased pump efficiency. And if offset

payments to agricultural activities in developing countries are allowed under a new climate change agreement, there is significant potential for these payments to fund environmental service payments for mitigation activities involving land use such as midseason drying of irrigated rice, and land use change practices such as conservation agriculture and conversion of low-productivity crop land to pasture or agriculture and, in some cases, to forests. In addition, although we have not explored this possibility here, carbon storage below ground in the form of soil organic material may significantly increase agricultural productivity and resilience to climate change.

Keywords: Greenhouse gas, climate change, mitigation, sequestration, mid-season drying, groundwater, pumping; payments for environmental services

1. INTRODUCTION

This discussion paper assesses the scope for cost-effective mitigation of greenhouse gas (GHG) emissions and sequestering carbon in crop agriculture in India. Table 1 reports estimates of GHG emissions from the world, India as a whole and Indian agriculture. Agricultural practices account for about 20 percent of India's total emissions; thus, cost-effective reductions in emissions from crop agriculture could significantly reduce India's total emissions.

Table 1. Greenhouse gas emissions, 2004 estimates (million mt, CO₂e)

	CO ₂	CH ₄	N ₂ O	PFC	HFC	SF ₆	Total
World	28,485	6,408	3,286	108	381	60	38,726
India	1,222	548	71	3	8	2	1,853
Indian agriculture	0	317	58	0	0	0	375

Source: World Resources Institute (2009)

We explore mitigation options for three sources of agricultural GHG release: methane emissions from irrigated rice production, nitrous oxide emissions from the use of nitrogenous fertilizers, and the release of CO₂ from energy sources used to pump groundwater for irrigation. We also examine whether changes in land use would result in cost-effective carbon sequestration. Although livestock-based methane emissions may be significant, we do not include them here, both because the data on livestock numbers and emissions are inadequate and technologies to reduce emissions are in early stages of development. We find great opportunities for cost-effective mitigation of GHGs in Indian agriculture, but we caution that these results are based on a variety of data sources, some of which are of poor quality.

2. AGRICULTURE'S ROLE IN GREENHOUSE GAS EMISSIONS AND MITIGATION

Agriculture can play an important role in mitigating three greenhouse gases: carbon dioxide (CO₂), methane (CH₄), and nitrous oxide (N₂O). Plants absorb CO₂ from the atmosphere and extract some carbon for use in developing plant tissues. Oxygen (O₂) and CO₂ are released back into the atmosphere. When the plant dies, the carbon in the plant tissues is converted back to CO₂ if decomposition is aerobic, to CH₄ if decomposition is anaerobic, or remains in the soil as soil organic material (SOM) if the material does not decompose. Aerobic decomposition takes place where decaying plant material is either on the surface or close to it and exposed to alternating wet and dry periods. Anaerobic decomposition releases CH₄ and takes place in fields that are flooded for extended periods, such as those used for paddy rice.

Some agricultural practices remove CO₂ from the atmosphere, release oxygen back into the atmosphere, and sequester carbon in the soil for long periods. Any practice that moves plant material down into the soil extends the period that carbon is sequestered. According to researchers at the National Institute for Agricultural Research (INRA), the mean residence time for organic carbon in the soil increases markedly with depth, with rapid turnover (days to months) near the surface and reaching from 2,000 to 10,000 years below 20 cm (INRA 2007).

Changes in land and soil use can trigger changes in soil carbon accumulation. The process is dynamic, involving plant growth above the soil surface and organic carbon accumulation below the surface. Eventually, the system reaches a new soil carbon stock equilibrium or saturation point, and no new carbon is absorbed or lost. This accumulation process can continue for 50 years or longer. Under constant conditions, the amount of soil organic carbon eventually stabilizes, but changes in land management practices can bring soil organic carbon stocks to a new equilibrium, with more or less carbon sequestered than under old practices.

Agricultural practices can also sequester carbon above ground in the form of woody material. This carbon remains sequestered only for as long as the plant remains alive or the products remain in organic form such as lumber or furniture.

N₂O release is a byproduct of the plant's use of nitrogen for growth. Plants extract nitrogen from naturally occurring compounds in the soil, and from organic fertilizers and inorganic nitrogenous fertilizers. Some of the nitrogen contained in fertilizer is not taken up by the plant but is converted to N₂O and released to the atmosphere. The nitrogen in either form of fertilizer, inorganic or organic, can be converted to N₂O and contribute to global warming.

These three gases—CO₂, CH₄, and N₂O—trap long-wave solar radiation, converting it to thermal energy, but their efficiency in doing so differs dramatically. The international standard practice is to express greenhouse gases in carbon dioxide (CO₂) equivalents or CO₂e. Table 2 reports the conversion factors used in this report, which are based on Intergovernmental Panel on Climate Change (IPCC) recommendations. Roughly, a CO₂e unit expresses how many units of CO₂ emissions would have the same effect as a unit of emissions of another compound (in terms of mass). For example, 1 kilogram of methane would result in an effect similar to 25 kilograms of CO₂.¹

Table 2. Global warming potential (CO₂e)

Carbon dioxide (CO ₂)	1
Methane (CH ₄)	25
Nitrous oxide (N ₂ O)	298

Source: Forster et al. (2007)

¹ Another useful conversion is from elemental carbon to CO₂. The carbon in 3.67 kg of CO₂ has a mass of 1 kg.

3. ASSUMPTIONS AND DATA SOURCES

The amounts of GHGs sequestered or released depend on location-specific factors, both natural (such as elevation, precipitation, temperature, and soil) and human-influenced (such as crops grown, use of inputs, and timing of agronomic practices). The data available on most of these factors are poor. In this section we list the key assumptions and data sources used to assess the potential for mitigation of agricultural emissions in India and document the basis for them.

Land Use

Location-specific land use is our primary factor for determining GHG emissions and mitigation potential. We combine two data sets to create location-specific information on land use, at 1 km resolution.

GLC2000

GLC2000 is a global land cover data set for the year 2000 with a resolution of about 32 arc seconds² (1 km at the equator), produced by the Global Vegetation Monitoring (GVM) unit of the European Commission's Joint Research Centre in collaboration with more than 30 research teams from around the world. It is available for download at http://bioval.jrc.ec.europa.eu/products/glc2000/data_access.php. GLC2000 has 21 land cover categories, including several that include crops, but it has no crop-specific categories.

ISPAM

The IFPRI Spatial Analysis Model (ISPAM) data set is an entropy-based method for making a plausible spatial allocation of the area, yield, and production of 20 major crops based on data collected for subnational political boundaries. The method combines a large collection of subnational production data, satellite-imagery-based information on the distribution and intensity of cropland, maps of the share of area currently equipped for irrigation, population density, crop prices, and the biophysical suitability of crop production in each grid, based on ambient rainfall, evapotranspiration, length of growing period, temperature regime, elevation, slope, and soil characteristics (You and Wood 2006). This data set has a 5-arc-minute resolution (roughly 10 km at the equator). For each pixel, the data set has information on the area and production of up to 20 crops within the pixel's boundaries. From this information, an estimate of yields can be derived.

We use the decision tree described in Table 3 to allocate each 1 km pixel in India to one of the GLC2000 land covers or to one or more of the 20 crops included in the ISPAM data set. This tree assumes that GLC2000 categories 1–12, 14, 15, and 19–22 are either in natural vegetation or other natural covers (snow, desert) or are urban, and do not contain any agricultural activities as of 2000, the year of these data sets. Pixels in the remaining categories are allocated to the various crops in the ISPAM data set and to pasture.

² Technical details for the GLC2000 data set can be found at <http://ies.jrc.ec.europa.eu/global-land-cover-2000>.

Table 3. Decision tree to allocate GLC 2000 and ISAM data to 1 km pixels

Decision criterion	Pixel land use category
<i>If</i> pixel is GLC2000 category #16 (crops)	Allocate the pixel area among the ISPAM crops. The fraction assigned to each crop is the area in that crop divided by the total area in all the crops. If ISPAM does not show any crops, assign to pasture.
<i>Else</i> , if the pixel is one of the following GLC2000 categories: 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 14, 15, 19, 20, 21, 22	Assign pixel to its category.
<i>Else</i> , if the pixel is GLC2000 category #13 (herbaceous cover, closed to open)	Assign 1/3 to pasture, 1/3 to GLC2000 #1 (broadleaf evergreen forest), and 1/3 to GLC2000 #3 (broadleaf deciduous forest open).
<i>Else</i> , if the pixel is GLC2000 category #17 (cropland mosaic [forest])	Assign 1/3 to cropland (divided up among ISPAM crops), 1/3 to GLC2000 #1 (broadleaf evergreen forest), and 1/3 to GLC2000 #12 (shrub, deciduous).
<i>Else</i> , if the pixel is GLC2000 category #18 (cropland mosaic [shrub] or herbaceous)	Assign 1/3 to cropland (divided up among ISPAM crops), and 2/3 to GLC2000 #12 (shrub, deciduous).

IMPACT—Partial Equilibrium World Agricultural Model

The IFPRI IMPACT model uses a system of linear and nonlinear equations to approximate the underlying production and demand relationships of world agriculture. It uses country-level elasticity supply and demand estimates (Rosegrant et al. 2008). The world's food production and consumption is disaggregated into 115 countries and regional groupings, with a further disaggregation in many regions to the river basin level and with the basic unit of analysis being the food production unit (FPU). Figure 1 shows the location of India FPUs. The model includes 32 commodities, including all major cereals, soybeans, roots and tubers, meats, milk, eggs, oils, oilcakes and meals, vegetables, fruits, sugarcane and beets, and cotton. IMPACT models the behavior of a competitive world agricultural market for crops and livestock, and is specified as a set of country or regional submodels, within each of which supply, demand, and prices for agricultural commodities are determined. The country and regional agricultural submodels are linked through trade so that the interactions among country-level production, consumption, and commodity prices are captured through net trade flows in global agricultural markets. Demand is a function of prices, income, and population growth. Growth in crop production in each country is determined by crop prices and the rate of productivity growth, from agricultural research and development, agricultural extension and education, markets, infrastructure, and irrigation.

Figure 1. FPU in India and neighboring countries.



From Land Use to Carbon Sequestration and CH₄ and N₂O Emissions

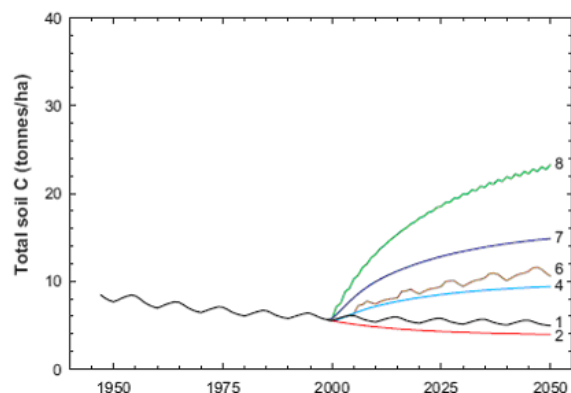
Once location-specific land uses have been identified, the next step is to identify the contributions of each of the land uses to the various GHG effects. Land-use-specific data on GHG emissions and carbon sequestration are scarce and inconsistent. There are no sources that provide a one-to-one match between our land uses and above- and below-ground carbon sequestration.

Land Use Change and Carbon Sequestration Potential

Agriculture-based carbon sequestration has advantages and disadvantages. The advantages include low cost, relatively simple implementation, and easy scalability. Additional associated benefits arise with soil carbon sequestration because the increased root biomass and soil organic matter enhance water and nutrient retention, availability, and plant uptake and hence land productivity. An important disadvantage is that agriculture-based carbon sequestration is easily reversible. And the dynamic process is asymmetric; accumulation proceeds slowly and at different rates for different cropping practices and locations, as

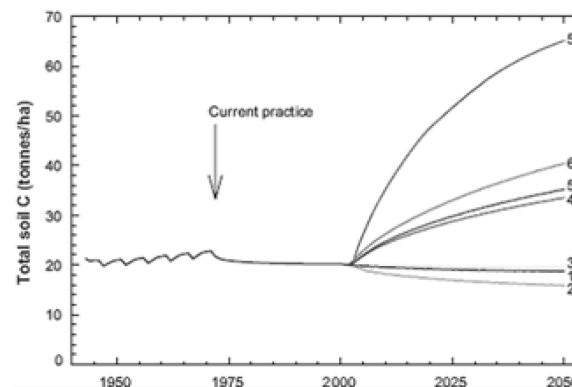
indicated in Figures 2 and 3, but losses can happen quickly. For example, deep plowing can reduce soil carbon dramatically in a single year.

Figure 2. Changes in soil carbon for different cropping systems, Futchimiram, Nigeria



Source: Figure 9 in FAO (2004)

Figure 3. Changes in soil carbon for different cropping systems, Lingampally village, India



Source: Figure 17 in FAO (2004)

We assume that each of our land uses has an above- and below-ground equilibrium carbon pool and the sequestration changes are the result of a transition from one land use to another.

Technology Change: Midseason Drying of Irrigated Rice

Table 4 is a key source of information about the GHG mitigation effects of a technology change in irrigated rice agriculture. It is derived from experiments in India on the effects of different cropping systems on GHG emissions (Pathak et al. 2005), including experiments on managing the flooding process in irrigated rice. The changes reported are in amount of inorganic nitrogen (N) applied (from 0 to 300 mt per hectare) and the number of times a field is dried in midseason (one or two).

Figure 4 plots the relationship between N application from urea and yield when the field is continuously flooded and also highlights the effects of midseason drying. A polynomial fitted to the yield-nitrogen combinations gives the following result:

$$\text{Yield} = 1803.8 + 55.115N - 0.084N^2$$

With N application of 120 mt, one midseason drying reduces the yield by about 1.5 percent. A second midseason drying results in a yield loss of 3.5 percent (see Figure 4). These midseason dryings have a profound effect on methane emissions, as Table 4 and Figure 5 show. Even with no nitrogen applied, methane is emitted as organic material from earlier crops decays anaerobically. Addition of N stimulates more plant growth, most of which decays and, in an anaerobic environment, releases methane. With 120 kg of N applied to a continuously flooded paddy, methane emissions are 96 kg C per hectare (C/ha). (All results in this section are based on the molecular weight of the carbon in the CH₄ molecule.) However, with midseason drying, the amount of methane is dramatically reduced. With one drying, emissions drop to 66 kg C/ha; a second drying reduces the emissions to 42 kg C/ha. In addition to methane reduction, midseason drying slightly increases emissions of N₂O. These results are strictly applicable only to the research environment in which they were conducted; many farmers do not achieve these yield levels. However, we are interested in the *change* in yields and methane emissions with a change in management practice. We assume that the changes identified in this study are broadly similar to changes that could be achieved on farmers' fields. This assumption should be subjected to further investigation.

Table 4. Sensitivity analysis for different rates of N application, water regimes, and manure application affecting simulated rice yields, N uptake, and annual GHG emissions.

Urea N	Water regime ^a	Grain yield	N uptake	CO ₂ emission	CH ₄ emission	N ₂ O emission	Ratio, N ₂ O to urea (N content)
		(kg/ha)	(kg N/ha)	(kg C/ha)	(kg C/ha)	(kg N/ha)	
0	CF	1,775	33	712	40	1.85	-
60	CF	4,798	90	741	81	1.85	0.0308
120	CF	7,320	137	760	96	1.85	0.0154
180	CF	9,015	169	771	101	1.85	0.0103
240	CF	10,015	188	774	103	1.89	0.0079
300	CF	10,868	204	768	103	2.12	0.0071
60(+6) ^b	CF	6,633	124	1,665	120	1.88	0.0285
120	1MD	7,210	135	690	66	1.93	0.0161
120	2MD	7,075	133	617	42	1.96	0.0163

Source: Table 2 in Pathak et al. (2005).

^aCF = continuous flooding; 1MD and 2MD = 1 and 2 midseason drainages, respectively.

^bPlus 60 kg N from farmyard manure.

Figure 4. Nitrogen yield curve, irrigated rice (Pathak et al. 2005) .

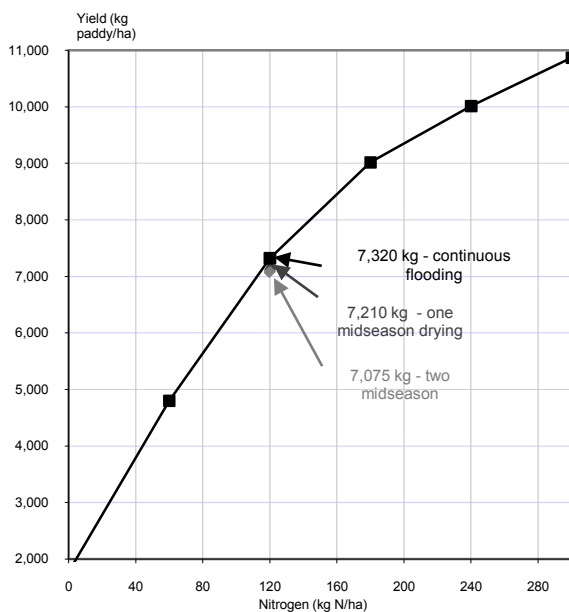


Figure 5. Methane emissions, irrigated rice (Pathak et al. 2005)

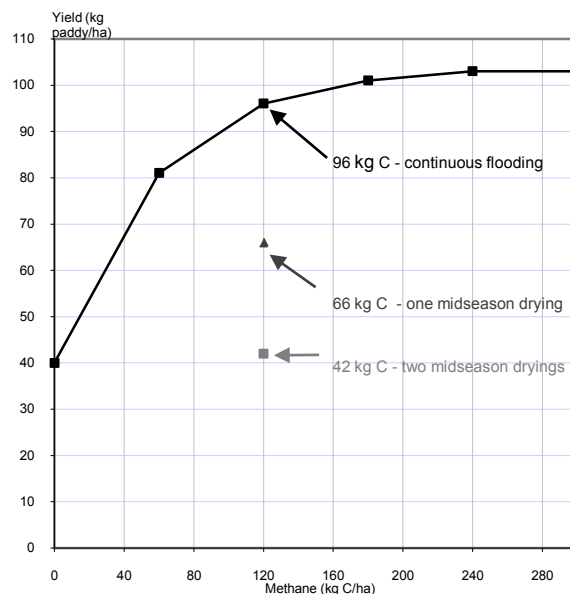


Table 5 reports our assumptions about emissions of methane (in mt of carbon per hectare) and nitrous oxide (in mt of N per hectare) as well as equilibrium carbon stocks above and below ground for each of our land uses. Note that only paddy rice has data on methane as well as having multiple values for nitrous oxide emissions. The IPCC assumptions (see Technical Appendix B: IPCC N₂O Methodology) provide two standard conversion factors for determining the nitrous oxide emissions based on fertilizer

application—for flooded rice, 0.003 of the fertilizer nitrogen becomes nitrous oxide; for all other crops, the ratio is 0.01. While the IPCC assumptions are used for most situations, we use experimental measurements from Pathak et al. (2005) to assess the effect on methane emissions of midseason drying in flooded rice. Thus, there are two irrigated paddy rice entries. The N₂O entry in parentheses is based on the Pathak et al. (2005) results. The version with MD in the name stands for midseason drying.

Table 5. Assumptions about GHG emissions and mitigation

Crop or land use/cover	Nitrogen applied (kg/ha)	Carbon above ground (mt/ha)	Carbon below ground (mt C/ha)	Carbon total (mt C/ha)	Methane (kg C/ha; Pathak et al. 2005)	N ₂ O (kg N/ha; IPCC assumption)
Maize	180	2	80	82	0	1.8
Soybeans	0	2	80	82	0	0
Wheat	150	2	80	82	0	1.5
Barley	100	2	80	82	0	1.0
Sorghum	100	2	80	82	0	1.0
Potatoes	150	2	80	82	0	1.5
Sweet potatoes and yams	150	2	80	82	0	1.5
Cassava	10	2	80	82	0	0.1
Plantains and bananas	200	2	80	82	0	2.0
Beans (dry)	0	2	80	82	0	0
Other pulse	0	2	80	82	0	0
Sugarcane	80	2	80	82	0	0.8
Sugar beets	100	2	80	82	0	1.0
Coffee	200	192	122	314	0	2.0
Cotton	120	2	80	82	0	1.2
Other fibers	120	2	80	82	0	1.2
Groundnuts	0	2	80	82	0	0
Other oil crops	0	2	80	82	0	0
Irrigated rice	120	2	80	82	96	0.36 (1.85)
Irrigated rice MD	120	2	80	82	66	0.36 (1.93)
Rainfed rice	120	2	80	82	0	1.2
Pasture	0	13	99	112	0	0
Forest broad evergreen (1)	0	194	122	316	0	0
Forest broad deciduous closed (2)	0	194	122	316	0	0
Forest broad deciduous open (3)	0	194	122	316	0	0
Forest needle evergreen (4)	0	57	96	153	0	0
Forest needle deciduous (5)	0	57	96	153	0	0

Crop or land use/cover	Nitrogen applied (kg/ha)	Carbon above ground (mt/ha)	Carbon below ground (mt C/ha)	Carbon total (mt C/ha)	Methane (kg C/ha; Pathak et al. 2005)	N ₂ O (kg N/ha; IPCC assumption)
Forest mixed (6)	0	57	96	153	0	0
Swamp forest (7)	0	120	191	311	0	0
Mangroves (8)	0	120	191	311	0	0
Forest mosaic (9)	0	120	123	243	0	0
Forest burnt (10)	0	2	42	44	0	0
Evergreen shrub (11)	0	29	90	119	0	0
Deciduous shrub (12)	0	13	99	112	0	0
Sparse (14)	0	2	20	22	0	0
Flooded shrub (15)	0	29	90	119	0	0
Bare (19)	0	0	0	0	0	0
Water (20)	0	0	0	0	0	0
Snow (21)	0	0	0	0	0	0
Urban (22)	0	0	0	0	0	0

Source: Our own assumptions based on a review of previous estimates.

Technology Change: The Effect of Nitrogenous Fertilizer Type and N₂O Emissions

N₂O is released when nitrogenous fertilizer is incompletely taken up by plants. The form in which N is applied affects the release of N₂O but the relationship is complicated by other factors including crop and soil type. Bouwman (1996) collected data from a large number of studies relating N₂O release and agricultural practices for various crops and fertilizers. His results formed the basis for the initial IPCC linear relationship between N application and N₂O release ($N_2O = 1 + 1.25 * N$, with both N and nitrous oxide measured in kg N per hectare per year). That paper also reported results from the individual studies in an appendix. We collated that information and did a multivariate analysis, which Bouwman appears not to have done. Table A.1 provides descriptive statistics of the data and Table A.2 our regression results. The left hand side variable is the natural logarithm of N₂O emissions. All right-hand-side variables are indicator variables except for the quantity of N applied. The baseline values are for clay loam soil, no fertilizer, moderate drainage, and no crop planted. Relative to the baseline, alfalfa, barley, maize, tobacco, and vegetables have significant positive coefficients. Relative to the no-fertilizer baseline, the type of fertilizer increases N₂O emissions, but the coefficients are statistically significant only for organic fertilizers and anhydrous ammonia (NH₃). Relative to the clay loam baseline soil, soils with sand have significantly lower N₂O emissions and organic soils have significantly higher N₂O emissions.

We are not able to provide quantitative estimates of the potential benefits of extension efforts to encourage efficiency of fertilizer use, use of biofertilizers, manure management, and use of compost from agricultural and domestic waste programs, although such efforts could be important.

It is useful to compare our regression results with the IPCC standard methodology and the Pathak et al. (2005) results. For rice grown on moderately drained clay loam with 120 kg N as urea applied, N₂O emissions are 0.54 kg and the ratio of N emitted in N₂O to N applied as nitrogen is 0.0046. This is about 50 percent higher than the IPCC methodology. The Pathak et al. (2005) results are substantially higher, with ratios from 0.031 to 0.015 depending on amount of N applied and declining as N application increases.

Carbon Pool and GHG Emissions Estimates

Carbon Pool

With the assumptions in Table 5, we estimate that the carbon sequestered above and below ground in India is 42,262 million mt C (Table 6). By contrast, Lal (2004) estimates the Indian soil inorganic carbon pool at 196,000 million mt to a depth of 1 meter. Figure 6 shows our estimate of the distribution of this pool. The largest amounts are along the southwest coast and in the middle reaches of the country, especially to the east—that is, the areas where land use is not primarily agriculture.

Table 6. India carbon pool estimates, 2000 (million mt C)

	Above	Below	Total
Our estimates	14,581	27,681	42,262
Lal (2004)		196,000	

GHG Emissions

Table 7 reports our estimates of CH₄ and N₂O emissions for 2000 and 2050. The estimates depend on which assumption is used for N₂O emissions from irrigated rice. We use Pathak et al. (2005) as our primary estimates and report the IPCC-based results in parentheses. Our estimate of GHG emissions from irrigated rice for 2000 is 74.69 million mt CO₂e with methane accounting for about two-thirds. This compares with an estimate for 1994–95 by Bhatia et al. (2004) of 100 million mt, with methane also accounting for about two-thirds. Total 2000 emissions from all crops are estimated to be 160.15 million mt CO₂e with methane emissions from irrigated rice accounting for about 30 percent. By 2050, we estimate total emissions increasing to 193.05 million mt CO₂e with the methane share growing slightly to about 32 percent. This growth assumes no changes in irrigated rice management to reduce methane emissions, which we discuss below.

Figure 7 shows the location of the CO₂e emissions. Interestingly, they do not overlap significantly with the carbon pool. They tend to be in the Gangetic plain and the southeast part of the country.

Table 7. India agricultural GHG emissions, 2000 and 2050 (million mt CO₂e, IPCC assumptions unless otherwise noted)

	Nitrous oxide	Methane	Total CO ₂ e (million mt)
Our results			
2000			
Irrigated rice	4.51 (26.90 [*])	47.79	52.30 (74.69 [*])
All other crops ^{**}	85.46	0	85.46
Total [*]	89.97 (112.36 [*])	47.79	137.76 (160.15 [*])
<i>Bhatia et al. (2004), irrigated rice only in 1994-95</i>	39	61	100
2050			
Irrigated rice [*]	5.79 (34.51 [*])	61.32	67.11 (95.83 [*])
All other crops ^{**}	97.22	0	97.22
Total ^{**}	103.01 (131.73 [*])	61.32	164.33 (193.05 [*])

Source: Pathak et al. (2005) assumptions for irrigated rice.

Figure 6. Carbon pool, above and below ground (mt C/ha)

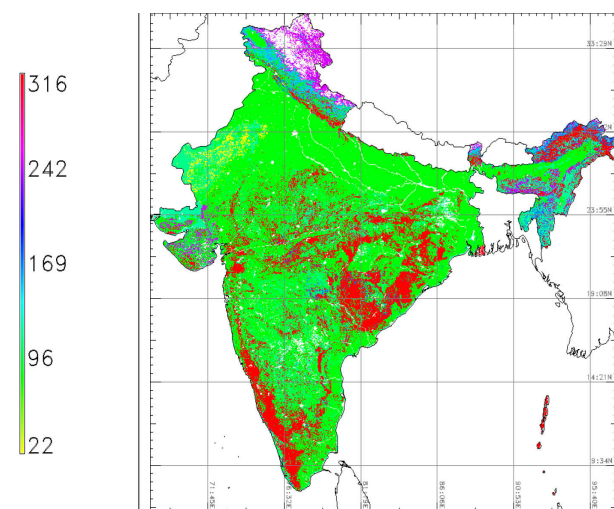
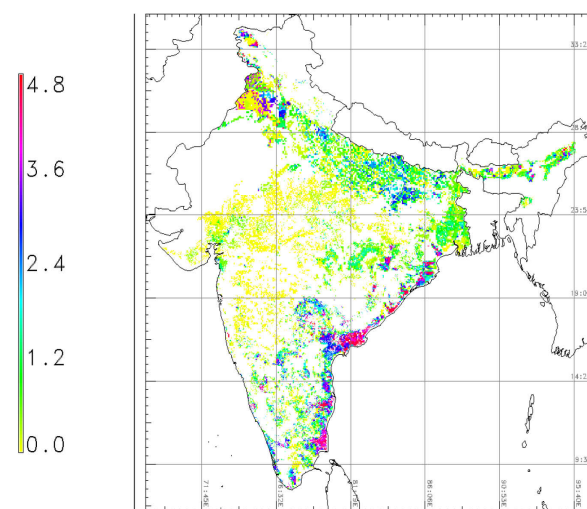


Figure 7. CO₂e from CH₄ and N₂O emissions from rice using Pathak et al. (2005) assumptions (CO₂e mt/ha)



Net Revenue Calculations

To simulate the opportunity costs of changes in land use to reduce methane and N₂O emissions or to increase carbon sequestration, we need an estimate of the net revenue earned. For pixels that do not contain agriculture, we assume the net revenue is zero. For agricultural pixels, we need location-specific estimates of the prices, yields, and costs of production for each of the crops grown there. This information is not available from secondary sources.

For yields we rely on the ISPAM set. We assume prices are determined by border prices plus (for import-competing crops) or minus (for export-competing crops) transport costs, based on a transportation time data set created by Andrew Nelson at the Joint Research Centre of the European Commission (Nelson 2007) and an estimate of the cost of travel per unit of time. The resulting value is approximately US\$0.03 per ton per kilometer. For example, the estimated time to travel from Madurai in the far southern tip of India to a point in the far north or northeast is between 2.4 to 2.8 days, resulting in an estimated transport cost of \$87 to \$100 for a ton of cargo.

We assume a country is a net importer if the 2001–03 average of FAOSTAT (FAO Agricultural Statistics) net imports is greater than 2 million mt and a net exporter if 2001–03 average of net exports is greater than 2 million mt. Crops that are classified as neither exported nor import-competing are assumed to be import-competing from the perspective of local price determination. Figure 8 shows the assumed distribution of rice prices. Figures for other export crops would have a similar pattern but with a larger or smaller range, depending on the export unit value. Figure 9 shows an example for an import-competing crop.

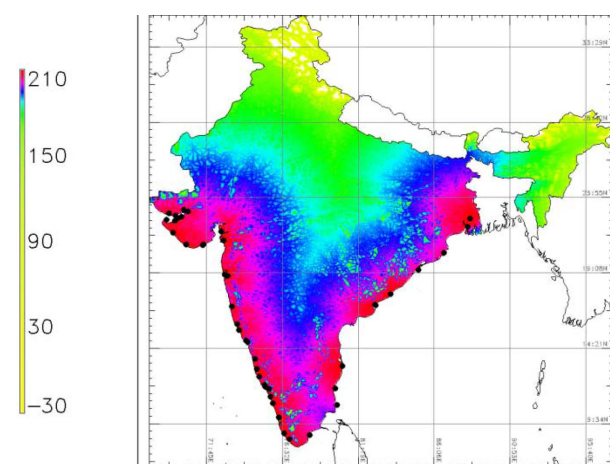
The border prices do not include any effects of border taxes, either at the Indian border or within India. They also do not include any effects of market distortions. As a result the net revenue estimates are at best imperfect estimates of actual farmer incomes. They should be considered as initial attempts to estimate spatial distributions of profits by crop type that allow us to assess the effects of land use changes on net revenue and hence on the possible cost of payments for environmental services programs.

Table 8. Trade unit values, average of 2001–2003

	Net exports (mill. mt)	Trade flow assumption	Import unit value (\$/kg)	Export unit value (\$/kg)	Border price assumption (\$/kg)
Barley	0.000	NT	NA	0.455	0.455
Beans, dry	-0.297	NT	0.319	0.536	0.319
Cassava dried	0.000	NT	NA	1.154	1.154
Chickpeas	-0.329	NT	0.344	0.389	0.344
Coffee, green	0.156	NT	0.600	0.937	0.600
Cotton carded combed	0.006	NT	3.911	2.243	2.243
Cottonseed	0.000	NT	NA	0.871	0.871
Groundnuts in shell	0.036	NT	NA	0.528	0.528
Groundnuts shelled	0.083	NT	3.111	0.582	0.582
Maize	0.243	NT	0.341	0.166	0.166
Milled paddy rice	3.494	Net exports	0.201	0.277	0.210
Millet	0.028	NT	NA	0.177	0.177
Peas, dry	-0.804	NT	0.234	0.343	0.234
Potatoes	0.037	NT	NA	0.093	0.093
Sorghum	0.005	NT	NA	0.193	0.193
Soybeans	0.083	NT	0.784	0.230	0.230
Sugar (centrifugal, raw)	0.268	NT	NA	0.232	0.232
Wheat	3.471	Net exports	NA	0.112	0.112
Pulses	-1.990	NT	0.294	0.482	0.294

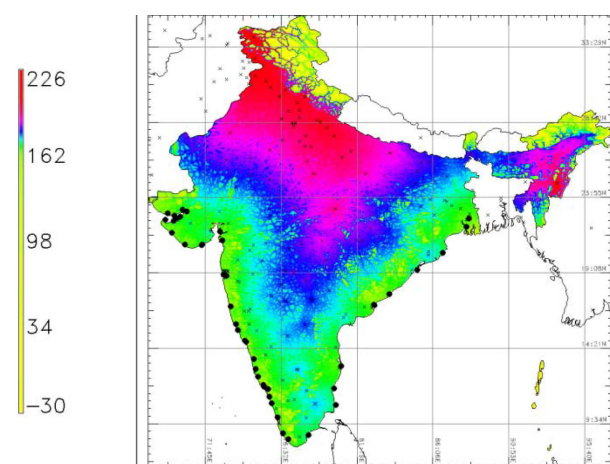
Source: FAOSTAT.

Figure 8. Location-specific milled rice prices based on export unit values (US\$/mt).



Note: black dots are ports.

Figure 9. Location-specific maize prices based on import unit values (US\$/mt).

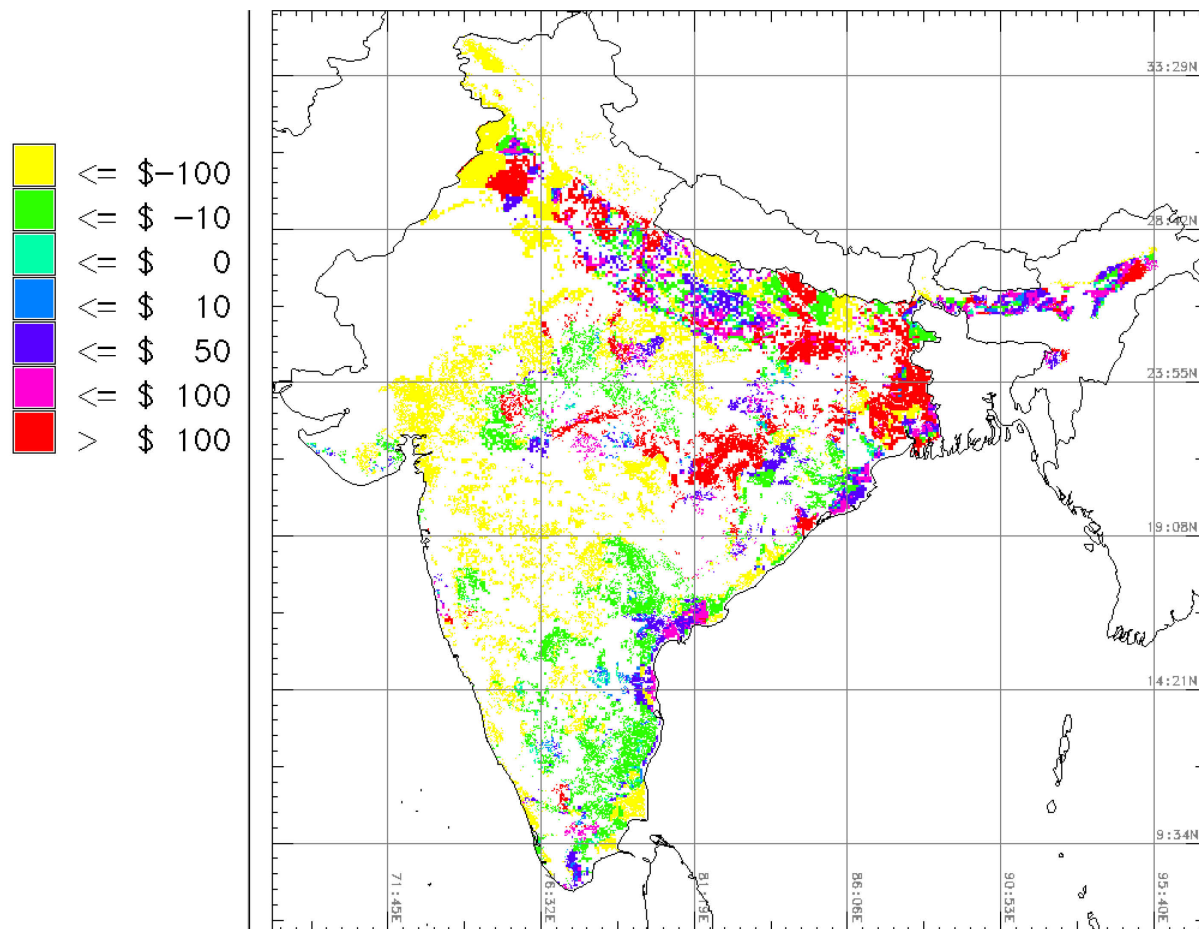


Note: Black dots are ports. Xs are cities assumed to be consumption centers.

The cost of production data were gathered from a variety of domestic and international sources. Where possible, we used state-level data. One major source was <http://dacnet.nic.in/eands/> when both India and international values were available they were generally comparable.

Figure 10 shows our location-specific estimates of the net revenues for irrigated rice. Areas in white have no irrigated rice production. The net revenue values range from substantial losses (greater than US\$50 per hectare) to substantial gains (greater than US\$50 per hectare). However, most returns are in the range of US\$ -20 per hectare to US\$20 per hectare.

Figure 10. Estimated net revenue per hectare per year for irrigated rice (US\$/ha).



4. EFFECTS OF MIDSEASON DRYING ON METHANE EMISSIONS

In this section, we report the results of a complete conversion of irrigated rice from continuous flooding to a single midseason drying. Table 9 provides summary statistics. The results are based on projections for rice agriculture from the IMPACT model along with the emission rates reported in Pathak et al. (2005). The combined emissions of methane and N₂O in irrigated rice agriculture in 2000 result in 75.7 million mt CO₂e. If all of this area used a single midseason drying, CO₂e drops to 60.9 million mt, a decline of about 20 percent.

The growth in emissions in the out-years to 2050 is based on the growth rates in irrigated area predicted by the IMPACT model. Without a technology change, CO₂e rises about 28 percent by 2050. With complete adoption of the drying technology, the CO₂e in 2050 is less than 5 percent higher than the CO₂e emissions in 2000 and lower than the projections for 2010, even with the increase in area and accompanying nitrogen application.

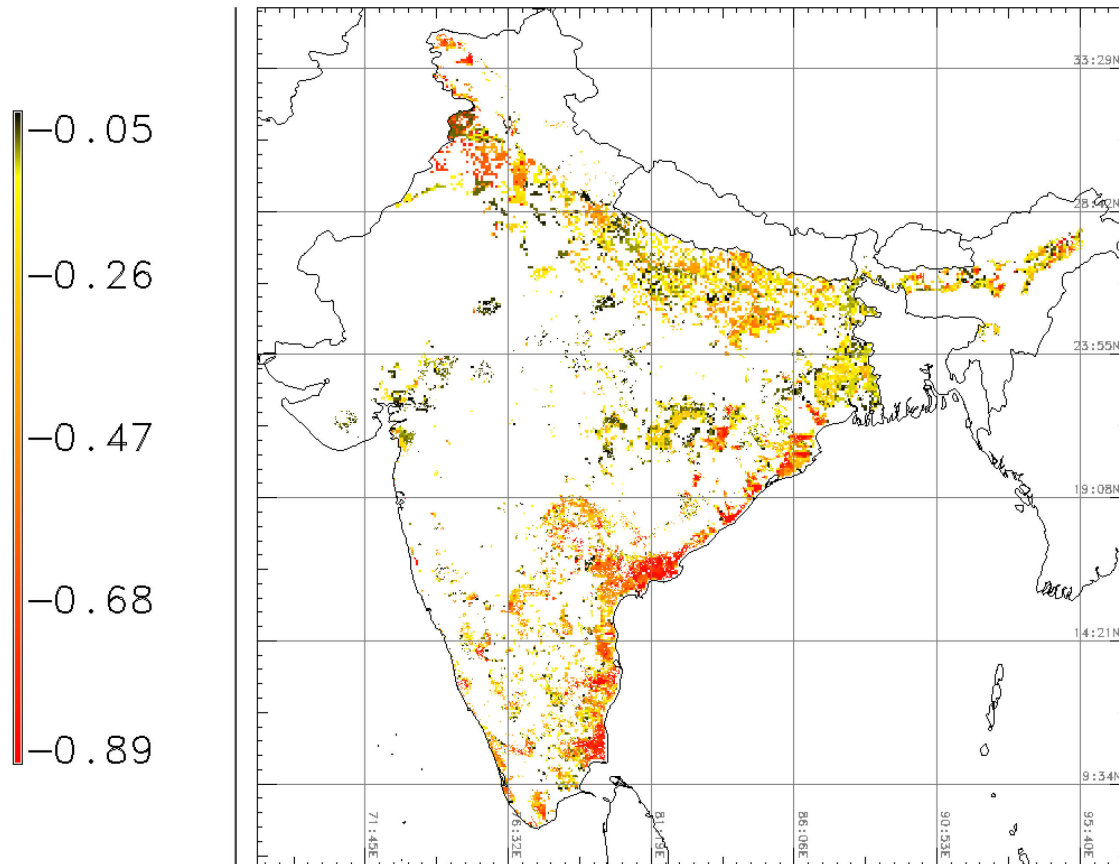
Table 9. Effects of midseason drying on irrigated-rice GHG emissions, 2000–2050.

	Irrigated rice area	Production	Traditional irrigated			Production	Single midseason drying		
			CH ₄ emissions	N ₂ O	CO ₂ e		CH ₄ emissions	N ₂ O	CO ₂ e
	000 ha	Mill. mt	Mill. mt C	Mill. mt N	Mill. mt	Mill. mt	Mill. mt C	Mill. mt N	Mill. mt
2000		39.37	1.49	0.029	74.7	38.78	1.02	0.030	60.9
2010	18,835	53.15	1.81	0.035	90.6	52.36	1.24	0.036	73.9
2020	19,526	58.89	1.87	0.036	93.9	58.01	1.29	0.038	76.6
2030	19,730	64.52	1.89	0.036	94.9	63.55	1.30	0.038	77.4
2040	20,046	70.95	1.92	0.037	96.4	69.89	1.32	0.039	78.6
2050	19,925	74.07	1.91	0.037	95.8	72.95	1.32	0.038	78.2

Source: Our own estimates. Area and production growth rates are based on IFPRI IMPACT baseline estimates of September 2008.

Figure 11 shows the locations in 2000 at which GHG emissions would be reduced with one midseason drying and by how much. The largest declines take place at locations with the largest areas of irrigated rice.

Figure 11. Locations of changes in GHG emissions with midseason drying, 2000 (change in mt CO₂e/ha/year).



Projected production is slightly lower with the midseason drying due to the decrease in yield of about 1.5 percent reported by Pathak et al. (2005); as a result, net revenues from rice production decline somewhat. While changing the management system would likely also change production costs, we do not have information about how much. With traditional management, the total net revenues for irrigated rice across India are estimated to be \$1.87 billion per year in 2000. With one midseason drying, net revenue drops to \$1.78 billion per year, a decline of about 4.6 percent. This decline is larger than the 1.5 percent decrease in yield because while total revenues decrease in the same proportion as yield, we assume costs remain constant. With 74.7 million mt of CO₂e gain, the opportunity cost is \$1.20 per mt CO₂e.

5. EFFECTS OF FERTILIZER TYPE ON N₂O EMISSIONS

Since the regression results do not find any significant effect of fertilizer type on N₂O emissions for the types available in India, we assume these effects are zero. We are not able to provide quantitative estimates of the potential benefits of extension efforts to encourage efficiency of fertilizer use, use of biofertilizers, manure management, and use of compost from agricultural and domestic waste programs, although such efforts could be important.

6. EMISSIONS OF CO₂ FROM GROUNDWATER PUMPING³

Groundwater lifting requires energy. That energy can come from humans (using treadle pumps), animals, hydro, nuclear power, and fossil fuels. Only fossil fuels contribute significantly to CO₂ emissions, and they are by far the most important energy sources for groundwater pumping. Two different fossil fuels provide pumping energy: diesel and coal. Diesel fuel is transported close to the well location and used to power a diesel pump. Electric pumps rely on electricity produced mostly from coal although hydro power accounts for about 13 percent and nuclear 3 percent of total Indian electricity output (source: Table 3.1 in Central Electricity Authority [2005]). This electricity is transported, sometimes long distances, to electric pumps near the fields to be irrigated.

With a 100 percent efficient process, the energy needed to lift 1,000 m³ of water 1 meter is 9.8×10^6 joules (equal to 2.724 kWh). CO₂ emissions depend on the efficiency of the power transmission and pumping process and the carbon density of the energy source. In India, the carbon emissions from coal-fired electricity are almost six times greater than from diesel. In our baseline assumptions, we use conservative estimates of 5 percent electricity transmission loss and 30 percent efficiency for both diesel and electric pumps. Once the water is at the surface, further losses occur in transmission and evaporation.

The amount of irrigation water needed by a crop depends on the physiology of the plant and the climate conditions where it is grown. The IMPACT model includes values for each crop in each of the FPU in India. It also assumes increases in efficiency over time.

The use of groundwater in Indian irrigation has grown rapidly as has the role of deep wells. Between 1950 and 2000, canal-based irrigation increased 8.3 million hectares to 18 million hectares (slightly more than doubling), while groundwater-based irrigation increased more than five-fold, from 6 million hectares to 33.6 million hectares (Ministry of Agriculture). Wells are being excavated deeper, and powered by electric motors as subsidized electricity has made it more cost-effective for farmers to invest in electric pumps instead of diesel pumps. In our forward-looking scenarios we assume all growth in water consumption comes from deep electric wells.

To summarize our baseline assumptions:

- Deep wells lift water 75 meters; shallow wells lift water 15 meters.
- The energy needed to lift 1,000 m³ of water a distance of 1 meter is 2.724 kWh with no efficiency losses.
- The efficiency of both electric and diesel pumps is 30 percent in terms of theoretical energy needed to lift water divided by actual energy used.
- Electricity transmission losses are 5 percent of the total.
- The carbon density of diesel fuel is 0.0732 kg C per kWh; the carbon density of electricity is 0.4062 kg C per kWh.
- The carbon emissions to lift a 1,000 m³ of water 1 meter are 0.665 kg C with diesel-fueled pumps and 3.873 kg C with electric pumps.

These set of assumptions likely underestimate the contribution of electricity use to CO₂ emissions. For example, the transmission electricity losses are believed by some observers to be on the order of 25 percent. The efficiency losses in pumps are likely to make the conversion from actual to theoretical pump efficiency 20 percent or lower.

Table 10 reports IMPACT model water results in columns 1 and 2 and our allocations of groundwater to shallow electric and diesel wells and deep electric wells based on district-level counts of wells by type. Our assumption about the future growth of water use coming from deep wells results in a three-fold increase in use of water from that source between 2000 and 2050. Interestingly, the growth stops by 2040 as increasing efficiency of water use offsets demand from more agricultural production.

³ The technical details behind these calculations are reported in Technical Appendix D.

Table 10. Irrigation water use by source, 2000–2050

	Surface water (million m ³) (1)	Ground- water (million m ³) (2)	Water from shallow electric (million m ³) (3)	Water from shallow diesel (million m ³) (4)	Water from deep electric (million m ³) (5)	Total columns 3–5 (million m ³) (6)
2000	113,023	209,819	83,795	75,994	35,539	195,328
2010	113,023	260,206	76,337	71,836	98,531	246,705
2020	113,023	266,961	76,927	71,049	106,172	254,148
2030	113,023	268,812	76,164	70,333	109,809	256,306
2040	113,023	268,730	74,142	69,455	112,912	256,509
2050	111,941	261,024	72,406	68,272	109,101	249,779

Note: Total water from carbon-emitting wells (6) is less than total groundwater (2) because carbon-generating water uses are computed for wells that use only diesel or (grid) electric power. Other wells employ solar, human, animal, and wind and are not considered to be major contributors to demand for GHG-emitting energy.

In Table 11 we report our baseline results and three alternate scenarios based on higher transmission losses for electricity (15 percent instead of 5 percent), deeper wells (100 meters instead of 75 meters for deep wells and 20 meters instead of 15 for shallow wells), and less-efficient pumps (20 percent instead of 30 percent).

There are a few key results. The 2000 total of 58.7 million mt CO₂e is about 3.7 of total India emissions of all sources in 2000 (1,566.2 million mt CO₂e) (World Resources Institute 2009). Deep wells powered by electricity are the largest single source of CO₂ emissions. They account for 65 percent of the total in 2000 and 87 percent in 2050. The 38 million mt of CO₂ emitted from deep-well pumping accounts for more than 5 percent of total Indian greenhouse gas emissions from all sectors of the economy in 2000 (1,559.1 million mt CO₂e) (World Resources Institute 2009). The growth in emissions actually peaks in 2040 with the peak in irrigation water use and drops through 2050 as irrigation water demand declines.

As expected, both higher transmission losses and deeper wells result in more CO₂ emissions. The increase in transmission losses raises CO₂ emissions by about 11 percent. Deeper wells increase emissions by 33 percent.

Pump efficiency has the most dramatic effect on our estimates of carbon emissions. If pumps are only 20 percent efficient instead of the 30 percent assumption of the baseline, carbon emissions increase by 50 percent over the baseline.

Table 11. Carbon emissions from Indian groundwater pumping for irrigation, four scenarios (million mt, CO₂e)

	2000	2010	2020	2030	2040	2050
<i>Baseline</i>						
Shallow electric	17.9	16.3	16.4	16.3	15.8	15.5
Shallow diesel	2.8	2.6	2.6	2.6	2.5	2.5
Deep electric	38.0	105.3	113.5	117.3	120.7	116.6
Total	58.7	124.2	132.5	136.2	139.1	134.6
<i>Higher transmission losses</i>						
Shallow electric	20.0	18.2	18.4	18.2	17.7	17.3
Shallow diesel	2.8	2.6	2.6	2.6	2.5	2.5
Deep electric	42.4	117.7	126.8	131.2	134.9	130.3
Total	65.2	138.5	147.8	151.9	155.1	150.1
<i>Deeper wells</i>						
Shallow electric	23.9	21.8	21.9	21.7	21.1	20.6
Shallow diesel	3.7	3.5	3.5	3.4	3.4	3.3
Deep electric	50.6	140.4	151.3	156.5	160.9	155.5
Total	78.2	165.7	176.7	181.6	185.4	179.4
<i>Lower pump efficiency</i>						
Shallow electric	26.9	24.5	24.7	24.4	23.8	23.2
Shallow diesel	4.2	3.9	3.9	3.9	3.8	3.7
Deep electric	57.0	157.9	170.2	176.0	181.0	174.9
Total	88.0	186.4	198.8	204.3	208.6	201.8

The Effects of Higher Energy Prices

Table 12 reports our simulations of the effects of raising the prices of diesel and electricity. We simulate 100 percent price increases in both energy sources and a 200 percent increase in the price of electricity.⁴

A 100 percent increase in the diesel price reduces total water use by less than 1 percent and CO₂e emissions by slightly more than 1 percent. However, a 100 percent increase in the electricity price reduces water use by more than 8 percent and CO₂e emissions by 14 percent. A 200 percent increase in the price of electricity reduces water use by a further 5 percent and CO₂e emissions by almost another 9 percent to almost 23 percent below the baseline.

The effect of these price increases on crop production is small. The decline of any magnitude is rice production in the Ganges FPU and even there the decline is greater than 1 percent of production only with a 200 percent increase in the electricity price and only in the 2020 and 2030 decades. The reason is that the water price elasticity is small, so we see only small reductions in the amount of water pumped, and corresponding small reductions in crop production.

⁴ These results are undoubtedly affected by the range of assumptions needed, which we describe briefly here. The IMPACT model has an overall elasticity of water use by FPU for a relative price increase. We assume that a relative price increase applies only to the wells that use that energy source. Thus, for example, if we are considering a 100 percent increase in the electricity price and an FPU has 80 percent shallow electric, 20 percent shallow diesel, and no deep wells, the aggregate price increase in the FPU is assumed to be 80 percent rather than the full 100 percent. Then we allocate any water use in the FPU first to the shallow diesel wells (the well-type whose energy price didn't increase) and the remaining to shallow electric wells.

Table 12. The effects on groundwater pumping of energy price increases.

	100 percent increase in diesel price		100 percent increase in electricity price		200 percent increase in electricity price	
	Change in total water use (percent)	Change in CO ₂ e, (percent)	Change in total water use (percent)	Change in CO ₂ e, (percent)	Change in total water use (percent)	Change in CO ₂ e, (percent)
2010	-0.77	-1.11	-8.16	-14.00	-13.36	-22.92
2020	-0.78	-1.08	-8.18	-13.75	-13.34	-22.45
2030	-0.74	-1.06	-8.12	-13.49	-13.31	-22.10
2040	-0.73	-1.03	-8.11	-13.30	-13.24	-21.69
2050	-0.73	-1.05	-8.10	-13.44	-13.24	-21.94

7. CARBON SEQUESTRATION SUPPLY CURVES AND EFFICIENCY OF PAYMENT SCHEMES

The goal of this section is to develop a conceptual approach, and implement it empirically, to identify locations where land use change would provide the most carbon sequestered per unit of payment. We compare two payment instruments: a payment per unit of carbon sequestered and a foregone-revenues or opportunity-cost-based payment.

Conceptual Approach

We assume that farmers are willing to change to a land use with higher environmental benefits only if they receive a payment at least equal to the loss in net revenue from the change. Since funds are limited, we want to identify locations where the environmental service benefits per unit of payment—in this case, carbon sequestered—are greatest. Before any payment program is implemented, additional research would be needed to determine conversion costs, empirical assessments of actual baseline carbon stocks, additionality of the carbon sequestered, and how dynamic leakage (reversion of the land use after the payments program ends) is to be handled.⁵ In our quantitative analysis below, we consider only the change in net revenue arising from a land use change, a linear carbon accumulation path from the value in the initial land use to that in the destination land use, and we ignore the possibility of revision to the initial land use after the project is completed and payment stops. We use the partial budgeting approach described above to estimate the net revenue of existing land use practices and all other potential land uses at each location. We assume the values in Table 5 are equilibrium carbon pool values for each possible land use.

The Role of Instrument Choice

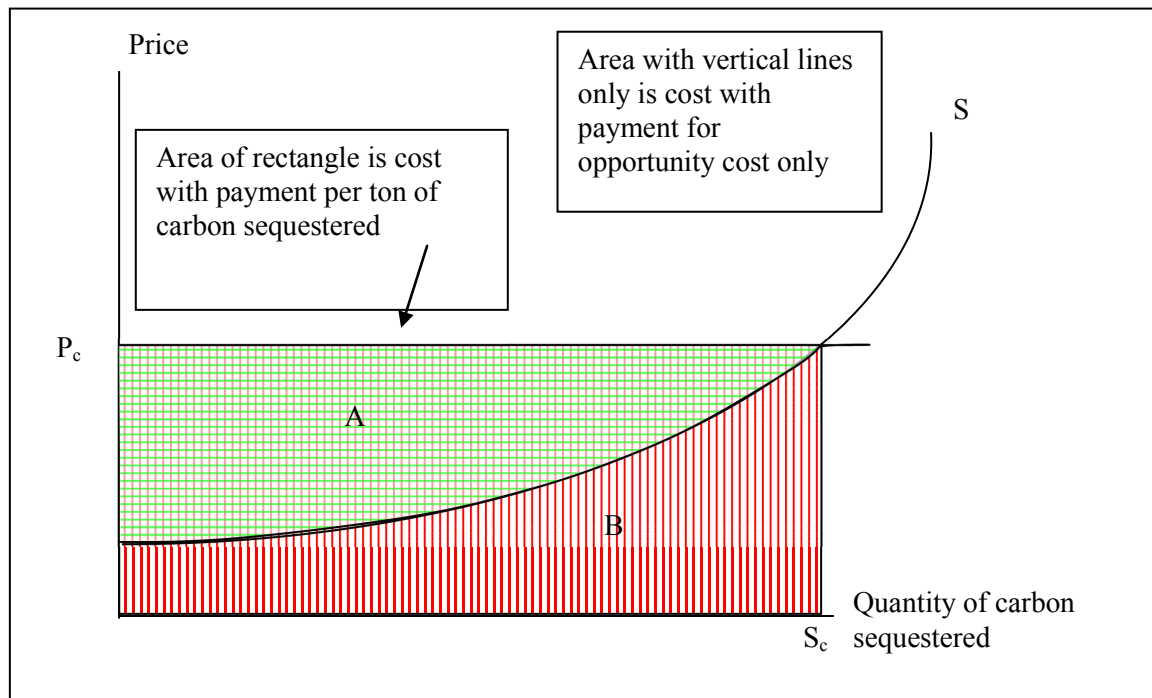
The choice of the incentive measure used to induce land use change can have a large effect on the costs. Broadly speaking, two types of incentive schemes are used in payments of environmental services (PES) programs to increase carbon sequestration: a fixed price per unit of service (a carbon price instrument)⁶ and a payment at least equal to the opportunity cost of changing the land use (an opportunity cost instrument). An example of the opportunity cost approach is the U.S. Conservation Reserve Program, in which farmers bid to receive annual government payments and take their land out of production for a fixed number of years.

The effect of instrument choice is illustrated in Figure 12. With a fixed price (P_c) instrument, all potential suppliers to the location associated with the quantity S_c of soil carbon sequestered convert to the optimal land use and the cost is area A + B. If, on the other hand, farmers are paid only the opportunity cost of converting, the cost of sequestering S_c is given by area B. The GIS techniques we have developed allow us to construct a supply curve based on the ordering of locations by opportunity cost. Thus, it is possible to examine both the supply curve as a summary measure and to observe the geographic distribution of the locations contributing the services that make up the curve.

⁵ For an in-depth discussion of the issues and approaches to creating agriculture- and forest-based GHG offset projects, see Willey and Chameides (2007).

⁶ In fact, the price approach is often filtered through a project that encourages carbon sequestration. A firm “buys” X tons of sequestered carbon at Y dollars per ton. The payment goes to an entity that works with land operators to adopt land use practices that result in X tons of carbon sequestered. The payment to the land operator might be in the form of a fixed capital investment, technology transfer, or annual payments.

Figure 12. Carbon sequestration supply curve and the costs of alternate payments methods.



Empirical Assumptions

We make the following assumptions:

- Only GLC2000 pixels with crops are candidates for a change in land use.
- Any location with crops must generate some net revenue for the operator, so for any location with net revenue less than a minimum (\$1 per hectare) we assume an opportunity cost of that minimum.
- Any change is from the existing land use to GLC2000 category 2, deciduous forest, which we assume has zero net revenue. Hence, the opportunity cost is equal to the net revenue of the existing land use. The gain in GHG effects is from the existing land use to the situation with GLC2000 category 2. We emphasize that this assumption implies that the land is taken out of agricultural production and left to return to a natural state.
- The transition time from the initial change to the final equilibrium carbon stock is 30 years.

Results

Table 13 reports numeric results and Figure 13 graphs them for the opportunity cost instrument; Table 14 and Figure 14 report results for the carbon price instrument. For the opportunity cost instrument we report simulations with annual payment levels of \$1 million, \$10 million, and \$100 million a year. This is equivalent to a fixed budget availability of these amounts. For the carbon instrument we report results with per mt prices of \$0.005, \$0.01, and \$0.05. This implies an open-ended budgetary exposure.

Our assumptions about carbon pools for the beginning and end land uses and the transition time mean that the increase in above- and below-ground carbon stock is about 8 mt per hectare per year. The end land use of deciduous forest has both above- and below-ground carbon stock accumulation. We also estimate the reductions in CH_4 and N_2O emissions that would be a byproduct of the payment for carbon

sequestration using both the IPCC conversion factors and the Pathak et al. (2005) rates for irrigated rice. We report the effects on agricultural production in Table 15.

These tables make several important points. Perhaps the most important is that the cost per mt of carbon sequestered is small over a large range of payment and additions to the carbon pool—well under \$1 per mt. Depending on payment instrument and amount spent, our estimates of annual sequestration range from about 8 million mt CO₂e (\$1 million spent annually with opportunity cost instrument) to more than 500 million mt CO₂e. The low price is a consequence of the fact that our net revenue estimates are negative for substantial areas, so we arbitrarily set the net revenue at those locations to \$1 per hectare. More than 500 million mt of CO₂e would be sequestered and there would be an additional 73 million mt of CO₂e reduction from declines in CH₄ and N₂O emissions. However, even if we increased our minimum net revenue value ten-fold, to \$10 per hectare, the cost per mt of CO₂e (and carbon, for that matter) would still be under \$1.

We can see in Table 15 that the production effects vary greatly across crops. Production of high-value crops such as cotton, groundnuts, maize, potatoes, and sugarcane is relatively little affected (production declines less than 10 percent), even under our highest-payment scenarios. Production of low-value crops such as millet, low-input and subsistence rice, and sorghum see declines of more than 50 percent in the high-payment scenarios. However, we also see large declines in production of high-input rainfed and irrigated rice, soybeans, and wheat. These unexpected results arise from a combination of our mechanism for location-specific price determination, which does not include border measures and does not handle non-traded goods well, and issues with the allocation algorithms to identify locations for individual crop production.

Figures 13 and 14 illustrate the dramatic differences in cost of the different payment mechanisms. Our assumption about a minimum level of net revenue means that the costs and sequestration benefits are initially identical. But once the pool of low-cost sequestration options is exhausted, the costs of the carbon price mechanism skyrocket, with little additional contribution to sequestration or GHG mitigation.

Table 13. Simulation of payments for carbon sequestration, opportunity cost instrument.

Total annual cost	\$1 million/ year	\$10 million/ year	\$100 million/ year
Average price per mt carbon sequestered (US\$/mt CO ₂ e)	0.035	0.035	0.049
Annual increase in carbon pool (million mt CO ₂ e)	7.80	78.00	553.80
Area converted (million sq. km)	1.00	10.00	71.00
Annual CH ₄ reduction, irrigated rice only* (million mt CO ₂ e)	2.46	10.36	44.87
Annual N ₂ O reduction, irrigated rice only* (million mt CO ₂ e)	1.38	5.83	25.26
Annual N ₂ O reduction, IPCC assumptions (million mt CO ₂ e; all crops)	1.53	9.28	51.96
Total annual reduction in GHG emissions** (million mt CO ₂ e)	11.79	97.65	650.47

* Pathak et al. (2005) assumptions.

** Total GHG emissions reductions include both carbon-sequestered N₂O emissions reductions using the IPCC assumptions, and methane emissions using the Pathak et al. (2005) assumptions.

Figure 13. Costs and CO₂e with the opportunity cost instrument

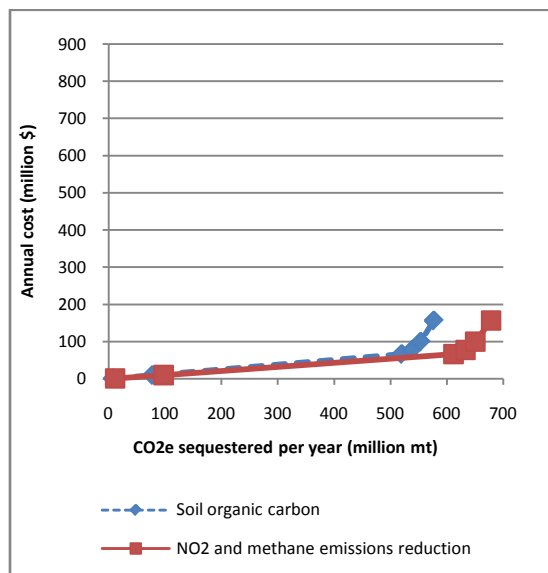


Figure 14. Costs and CO₂e with the carbon price instrument

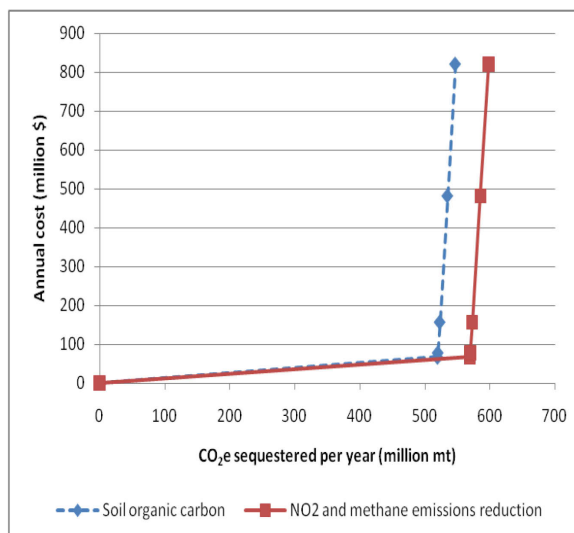


Table 14. Simulation of payments for carbon sequestration, carbon price instrument.

Price (US\$/mt C)	0.005	0.01	0.05
Total annual cost (millions of \$)	78.1	157.0	820.6
Annual increase in carbon pool (million mt CO ₂ e)	520.1	523.4	547.1
Area converted (000 sq. km)	66.7	67.1	70.1
Annual CH ₄ reduction, irrigated rice only* (million mt CO ₂ e)	42.4	42.5	44.4
Annual N ₂ O reduction, irrigated rice only* (million mt CO ₂ e)	23.9	24.0	25.0
Annual N ₂ O reduction, IPCC assumptions (million mt CO ₂ e; all crops)	49.6	49.8	51.4
Total annual reduction in GHG emissions** (million mt CO ₂ e)	612.0	612.5	642.9

* Pathak et al. (2005) assumptions, irrigated rice only.

Figures 15 and 16 show where land use change would provide the most cost-effective carbon sequestration with an annual budget of \$10 million or \$100 million under the opportunity cost scheme.⁷

⁷ The maps show all the pixels that contain at least some land that would participate in the hypothetical PES program. Not all land within these pixels would necessarily participate.

Figure 15. Locations of land use change with \$10 million annual payments, opportunity cost payment instrument

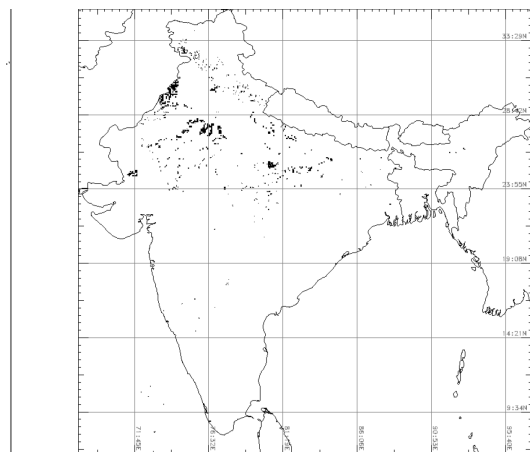


Figure 16. Locations of land use change with \$100 million annual payments, opportunity cost payment instrument

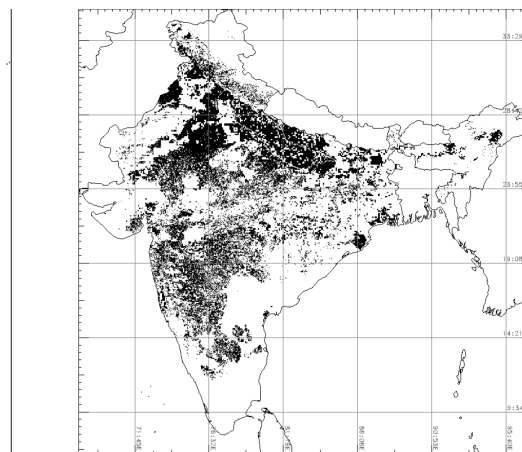


Table 15. Share of total production lost with different instruments (percent).

Crop	Opportunity cost payment instrument				Carbon price payment instrument	
	\$1 million / year	\$10 million/ year	\$73.7 million /year	\$100 million /year	.005 \$ /mt / year	.03 \$ /mt / year
Barley	0.00	0.00	3.38	3.44	3.33	3.38
Cassava	0.00	0.00	0.00	0.00	0.00	0.00
Cotton	0.00	0.00	0.06	0.07	0.05	0.06
Groundnuts	0.00	0.00	3.60	4.23	3.49	3.60
Maize	0.07	0.40	7.46	8.32	7.04	7.48
Millet	0.00	0.18	76.44	79.85	73.18	76.61
Potatoes	0.08	0.12	0.67	0.67	0.66	0.67
Rice, irrigated	1.21	9.20	44.37	46.37	43.09	44.46
Rice, high input	0.00	1.41	32.96	34.99	31.86	33.05
Rice, low input	0.78	5.96	91.60	91.72	91.59	91.60
Rice, subsistence	0.57	29.64	92.82	93.55	92.09	92.86
Sorghum	0.00	0.00	64.25	69.75	60.22	64.49
Soybeans	0.00	0.00	44.43	53.52	38.80	44.81
Sugarcane	0.00	0.00	1.13	1.18	1.09	1.14
Wheat	0.98	7.61	53.29	55.09	52.06	53.38

8. CONCLUSIONS

By some estimates, agricultural practices account for 20 percent of India's total emissions; thus, cost-effective reductions in agricultural emissions could significantly lower India's overall emissions. We explored mitigation options for three agricultural sources of GHGs: methane (CH₄) emissions from irrigated rice production, nitrous oxide (N₂O) emissions from the use of nitrogenous fertilizers, and the release of CO₂ from energy sources used to pump groundwater for irrigation. We also examined how changes in land use would affect carbon sequestration.

We find great opportunities for cost-effective mitigation of GHGs in Indian agriculture. Reductions in subsidies to rural electric use for agriculture would discourage the use of carbon-intensive electricity for extraction of groundwater from deep aquifers. A single midseason drying would substantially reduce methane emissions from irrigated rice with only a small reduction in yields, which could be compensated with an environmental service payment funded from the world carbon market. And if offset payments to agricultural activities in developing countries are allowed under a new climate change agreement, there is significant potential for these payments to fund mitigation activities involving land use including practices such as conservation agriculture and conversion of low-productivity crop land to pasture or agriculture and, in some cases, to forests.

In addition, although we have not explored this possibility in our research, carbon storage below ground in the form of soil organic material may increase agricultural productivity and resilience to climate change.

Underlying the results in this report are many assumptions combined with data from different sources. We have attempted to be conservative in our assumptions, but it is important to recognize that there may be large error bounds around our conclusions. We have designed our methodologies so that, as better data become available, we can update our estimates. There are at least four places where better data would be particularly useful--carbon stocks by land use, and location specific information on land use, prices and costs.

Our data on above- and below-ground carbon stocks are based on estimates for broad land use aggregates at the global level. It is unclear whether India-specific data would substantially differ, but an assessment would be an important part of efforts to identify locations to undertake environmental service payments.

This information is crucial for identifying the opportunity costs of changes in management or land use. We rely on the ISPAM data set for area and yields at a high resolution. The methodology to create the ISPAM data generates *plausible* spatial allocations of area and yield, but the plausibility at particular locations needs to be verified. Similarly, the methodology we use to identify location-specific prices ignores international and interior border measures, and does not handle well those situations in which goods are not traded because of transport costs. Finally, our data on costs of production could be significantly improved.

TECHNICAL APPENDIX A: N₂O DETERMINANTS

This appendix reports results from our regressions that attempt to find a statistically significant relationship between fertilizer type and N₂O emissions. The data used in the analysis are taken from appendix tables in Bouwman (1996) that report data from 262 field experiments with different crops, nutrient application, and soil types on N₂O emissions. Table A.1 lists the variables used and summary statistics.

Table A.1. Summary statistics of variables.

Variables	Obs.	Mean	Std. Dev.	Min.	Max.
N ₂ O	262	6.382328	21.44445	-0.6	165
N-application	262	155.9809	161.2822	0	1230
Soil type					
Clay loam*	259	0.243243	0.429871	0	1
Loam	259	0.154440	0.362070	0	1
Organic (soil)	259	0.057915	0.234035	0	1
Sand	259	0.050193	0.218766	0	1
Sandy clay loam	259	0.042471	0.202052	0	1
Sandy loam	259	0.204633	0.404214	0	1
Silt loam	259	0.200772	0.401354	0	1
Silty clay loam	259	0.046332	0.210610	0	1
Fertilizer type					
Unfertilized*	262	0.248092	0.432732	0	1
Organic	262	0.091603	0.289017	0	1
NH ₄	262	0.156489	0.364013	0	1
NH ₄ NO ₃	262	0.263359	0.441298	0	1
NO ₃	262	0.156489	0.364013	0	1
NH ₃	262	0.099237	0.299552	0	1
Urea	262	0.072519	0.259842	0	1
Drainage					
Moderately drained*	258	0.015444	0.123549	0	1
Poorly drained	258	0.352713	0.478743	0	1
Well drained	258	0.631783	0.483258	0	1
Crops					
Unplanted*	262	0.270992	0.445323	0	1
Alfalfa	262	0.007634	0.087203	0	1
Barley	262	0.072519	0.259842	0	1
Carrots	262	0.022901	0.149874	0	1

Clover	262	0.007634	0.087203	0	1
Grass	262	0.209924	0.408033	0	1
Maize	262	0.106870	0.30954	0	1
Onions	262	0.007634	0.087203	0	1
Rape	262	0.026718	0.161565	0	1
Rice	262	0.034351	0.182478	0	1
Soybeans	262	0.022901	0.149874	0	1
Sugarcane	262	0.011450	0.106596	0	1
Tobacco	262	0.041985	0.200938	0	1
Vegetables	262	0.045802	0.209455	0	1
Weeds	262	0.034351	0.182478	0	1
Wheat	262	0.076336	0.266043	0	1

* Control variable

NH₃: anhydrous ammonia; NH₄: salts of ammonia; NO₃: salts of nitrate; NH₄NO₃: ammonium nitrate; organic: various forms of organic fertilizers; Obs.: observations.

Source: Appendix tables in Bouwman (1996).

Table A.2 presents the results of the regression for N₂O emissions. Both N₂O emissions and the amount of elemental N applied are continuous variables. The remaining right-hand-side variables are indicators. The control variables are clay loam for the soil type variables, no fertilizer for the fertilizer type variables, moderately drained soils for the drainage type variables, and unplanted land for the crop variables. The regression is based on 258 observations.

With the soil type variables, crops grown on organic soils had statistically higher N₂O emissions than on the control soil, while crops grown on sandy clay loam soils had statistically lower N₂O emissions.

Of the fertilizer types, only the use of NH₃ (anhydrous ammonia) resulted in statistically significant increases in N₂O emissions.

Of the crops, alfalfa, tobacco, and vegetables produced statistically significant higher N₂O emissions.

Table A.2. Regression results, determinants of N₂O emissions.

	Coef.	Std. Err.	t	P>t	[95 percent Conf. Interval]	
Constant	-0.41489	0.334176	-1.24	0.216	-1.07364	0.243865
N application	<i>0.00111</i>	0.000319	3.48	0.001	0.00048	0.00174
Soil type indicator—control variable clay loam						
Loam	-0.05239	0.151157	-0.35	0.729	-0.35036	0.245579
Organic (soil)	<i>0.833532</i>	0.366824	2.27	0.024	0.110424	1.556641
Sand	<i>-0.44069</i>	0.265776	-1.66	0.099	-0.9646	0.08323
Sandy clay loam	<i>-0.88274</i>	0.228667	-3.86	0	-1.33351	-0.43198
Sandy loam	-0.06794	0.159513	-0.43	0.671	-0.38239	0.246501
Silt loam	-0.1982	0.161131	-1.23	0.22	-0.51583	0.119435
Silty clay loam	-0.09481	0.184705	-0.51	0.608	-0.45891	0.269295
Fertilizer type—control variable unfertilized						
Organic	0.473004	0.146832	3.22	0.001	0.183559	0.76245
NO ₃	0.212308	0.134019	1.58	0.115	-0.05188	0.476495
NH ₄ NO ₃	-0.00766	0.127067	-0.06	0.952	-0.25814	0.242823
Urea	0.054261	0.15554	0.35	0.728	-0.25235	0.360872
NH ₃	0.445456	0.152271	2.93	0.004	0.145289	0.745623
NH ₄	0.108065	0.130154	0.83	0.407	-0.1485	0.364634
Drainage—control variable moderately drained						
Well drained	-0.27952	0.344087	-0.81	0.418	-0.95781	0.398766
Poorly drained	0.190071	0.319848	0.59	0.553	-0.44043	0.820577
Crop—control variable unplanted						
Alfalfa	1.385093	0.424586	3.26	0.001	0.54812	2.222067
Barley	-0.38153	0.213584	-1.79	0.075	-0.80256	0.0395
Carrots	-0.27974	0.273379	-1.02	0.307	-0.81864	0.259167
Clover	-0.61162	0.584946	-1.05	0.297	-1.7647	0.541469
Grass	0.052875	0.161013	0.33	0.743	-0.26453	0.370276
Maize	0.581869	0.181939	3.2	0.002	0.223218	0.940521
Rape	0.250184	0.244853	1.02	0.308	-0.23249	0.732855
Rice	-0.37128	0.248268	-1.5	0.136	-0.86068	0.118124
Soybeans	0.291098	0.259134	1.12	0.263	-0.21972	0.80192
Sugarcane	0.705973	0.658579	1.07	0.285	-0.59226	2.00421
Tobacco	<i>0.648478</i>	0.252072	2.57	0.011	0.151577	1.145379
Vegetables	<i>0.736837</i>	0.214609	3.43	0.001	0.313786	1.159888
Weeds	0.074162	0.269057	0.28	0.783	-0.45622	0.604547
wheat	0.007136	0.198844	0.04	0.971	-0.38484	0.39911

Notes: Adjusted R² = .49, F(30, 211) = 8.6. Significant coefficients are italicized.

NH₃: anhydrous ammonia; NH₄: salts of ammonia; NO₃: salts of nitrate; NH₄NO₃: ammonium nitrate; organic: various forms of organic fertilizers.

TECHNICAL APPENDIX B: IPCC N₂O METHODOLOGY

The following material is extracted from Chapter 11 of De Klein et al. (2006). These are the relationships that countries can use to estimate N₂O emissions in a consistent manner.

The key formula is:

$$N_2O_{Direct} - N = N_2O - N_{N\text{ inputs}} + N_2O - N_{OS} + N_2O - N_{PRP}$$

In words, total annual N₂O emissions are made up of emissions from inorganic fertilizers (N inputs), organic soils (OS), and animal manure (PRP). Each of the three terms in this formula has more detail.

$$N_2O - N_{N\text{ inputs}} = \left[\left[(F_{SN} + F_{ON} + F_{CR} + F_{SOM}) \cdot EF_1 \right] + \left[(F_{SN} + F_{ON} + F_{CR} + F_{SOM})_{FR} \cdot EF_{1FR} \right] \right]$$

$$N_2O - N_{NOS} = \left[\left(F_{OS,CG,Temp} \cdot EF_{2CG,Temp} \right) + \left(F_{OS,CG,Trop} \cdot EF_{2CG,Trop} \right) + \left(F_{OS,F,Temp,NR} \cdot EF_{2F,Temp,NR} \right) + \left(F_{OS,F,Temp,NP} \cdot EF_{2F,Temp,NP} \right) + \left(F_{OS,F,Trop} \cdot EF_{2F,Trop} \right) \right]$$

$$N_2O - N_{PRP} = \left[\left(F_{PRP,CPP} \cdot EF_{3PRP,CPP} \right) + \left(F_{PRP,SO} \cdot EF_{3PRP,SO} \right) \right]$$

Variable Definitions and Units

$N_2O_{Direct} - N$ = annual direct N₂O–N emissions produced from managed soils, kg N₂O–N/yr

$N_2O - N_{N\text{ inputs}}$ = annual direct N₂O–N emissions from N inputs to managed soils, kg N₂O–N/yr

$N_2O - N_{OS}$ = annual direct N₂O–N emissions from managed organic soils, kg N₂O–N/yr

$N_2O - N_{PRP}$ = annual direct N₂O–N emissions from urine and dung inputs to grazed soils, kg N₂O–N / yr

F_{SN} = annual amount of synthetic fertilizer N applied to soils, kg N/yr

F_{ON} = annual amount of animal manure, compost, sewage sludge and other organic N additions applied to soils (Note: If including sewage sludge, cross-check with Waste Sector to ensure there is no double counting of N₂O emissions from the N in sewage sludge), kg N/yr

F_{CR} = annual amount of N in crop residues (above ground and below ground), including N-fixing crops, and from forage/pasture renewal, returned to soils, kg N/yr

F_{SOM} = annual amount of N in mineral soils that is mineralized, in association with loss of soil C from soil organic matter as a result of changes to land use or management, kg N/yr

F_{OS} = annual area of managed/drained organic soils, ha

F_{PRP} = annual amount of urine and dung N deposited by grazing animals on pasture, range and paddock, kg N/yr

The values of the emission factors in these equations (EF_x) are taken from Table 11.1 in the report (De Klein et al. 2006).

- $EF_1 = 0.01$ – emission factor for N₂O emissions from N inputs, kg N₂O–N (kg N input)^{–1}
- $EF_{1FR} = 0.003$ – emission factor for N₂O emissions from N inputs to flooded rice, kg N₂O–N (kg N input)^{–1}

- EF_2 = emission factor for N_2O emissions from drained/managed organic soils, $kg\ N_2O-N\ ha^{-1}/yr$
 - CG, temp = 8
 - CG, trop = 16
 - F, temp, org, R = 0.6
 - F, temp, org, P = 0.1
 - F, trop = 8

The subscripts CG, F, Temp, Trop, NR, and NP refer to Cropland and Grassland, Forest Land, Temperate, Tropical, Nutrient Rich, and Nutrient Poor, respectively.

EF_{3PRP} = emission factor for N_2O emissions from urine and dung N deposited on pasture, range and paddock by grazing animals, $kg\ N_2O-N\ (kg\ N\ input)^{-1}$

PRP, CPP = 0.02

PRP, SO = 0.01

The subscripts CPP and SO refer to Cattle, Poultry and Pigs, and Sheep and Other animals, respectively.

In this report, we cover only crop use of nitrogenous fertilizer. So the relevant formula is

$$N_2O = F_{SN} \bullet EF_1 = .01F_{SN} \text{ for crops other than irrigated rice and}$$

$$N_2O = F_{SN} \bullet EF_{1FR} = .003F_{SN} \text{ for irrigated rice}$$

TECHNICAL APPENDIX C: INDIA FERTILIZER USE PROJECTIONS

To project India fertilizer use to 2050, we start with the IMPACT production numbers by crop and management (irrigated and rainfed) by FPU. Table is from an FAO report on fertilizer use in India. Since the crops reported in Table C1 do not match the IMPACT crops exactly, we use the conversion listed in TableC2.

Table C1: Nutrient use by crop and management (kg/ha)

Crop	Fertilizer Consumption (kg/ha)			
	N	P	K	Total
Cotton	89.5	22.6	4.8	116.8
Irrigated	115.7	30.9	7.0	153.5
Rainfed	75.8	18.2	3.6	97.7
Groundnut	24.4	39.3	12.9	76.6
Irrigated	35.3	53.8	28.9	118.0
Rainfed	21.9	36.0	9.2	67.2
Jute	38	11.5	5.0	54.4
Irrigated	55.9	22.4	10.2	88.6
Rainfed	28.9	6.0	2.3	37.1
Maize	41.7	14.7	3.8	60.2
Irrigated	59.6	27.7	4.8	92.1
Rainfed	36.6	11.0	3.6	51.1
Paddy	81.7	24.3	13.1	119.1
Irrigated	103.4	32.8	18.8	155.0
Rainfed	56.6	14.5	6.5	77.6
Pearl Millet	21.9	5.5	0.8	28.2
Irrigated	62.2	13.9	3.4	79.5
Rainfed	18.4	4.8	0.6	23.8
Pigeon Pea	20.9	13.3	2.0	36.2
Irrigated	36.9	20.9	2.2	60.0
Rainfed	19.6	12.6	2.0	34.2
Rapeseed & Mustard	69.1	25.0	2.9	97.0
Irrigated	81.7	30.4	4.3	116.5
Rainfed	45.9	15.0	0.4	61.3
Sorghum	29.2	14.2	4.1	47.5
Irrigated	58.5	29.1	10.7	98.3
Rainfed	26.9	13.0	3.6	43.6
Sugar Cane	124.8	44.0	38.3	207.1
Irrigated	126.4	45.0	40.6	212.0
Rainfed	106	32.0	12.4	150.4
Wheat	99.6	30.2	6.9	136.7
Irrigated	105.6	32.1	7.3	144.9

Rainfed	55.7	15.9	4.3	75.9
Other Crops	34.5	18.5	7.1	60.1
Irrigated	113.5	46.8	16.5	176.7
Rainfed	13.6	11.0	4.7	29.3
All Crops	59.2	22.1	8.5	89.8
Irrigated	103.2	35.3	14.5	153.1
Rainfed	29.7	13.1	4.5	47.3

Source: "Fertilizer use by crop in India", FAO, 2005.

Table C2. Lookup table IMPACT crops and FAO crops.

IMPACT crop	Assumed FAO crop
chickpea	pigeon pea
cotton	cotton
groundnut	groundnut
maize	maize
millet	pearl millet
other grains	pearl millet
other crops	other crops
pigeon pea	pigeon pea
potatoes	other crops
rice	paddy
sorghum	sorghum
soybeans	none; assumed to not use fertilizer
subtropical fruits	none; assumed to not use fertilizer
sugar cane	sugar cane
sweet potatoes and yams	other crops
temperate fruits	none; assumed to not use fertilizer
vegetables	other crops
wheat	wheat

Table C3 reports the latest IMPACT production estimates for each India FPU for 19 crops and crop aggregates at 10 year intervals beginning in 2000 and extending through 2050.

Table C3. IMPACT production projections by crop, 2000-2050 (000 mt).

Crop	2000	2010	2020	2030	2040	2050
Cassava	6,866	8,503	10,320	11,383	12,036	12,630
Chickpea	5,223	6,402	7,677	8,879	9,992	11,084
Cotton	1,930	2,796	2,839	2,857	2,838	2,672
Groundnuts	6,253	7,068	7,348	7,018	6,396	5,510
Maize	12,601	17,262	19,836	18,483	17,365	14,912
Millet	9,992	11,361	12,207	12,603	12,652	12,790
Other grains	1,469	1,648	2,055	2,025	1,724	1,519
Other	141,500	194,862	214,228	228,735	236,402	246,193
Pigeon pea	2,430	2,858	3,660	4,530	5,466	6,493
Potato	23,651	27,536	34,911	40,747	46,010	51,221
Rice	87,247	87,268	84,233	85,435	89,637	90,651
Sorghum	8,185	8,908	9,946	10,391	10,627	10,842
Soybeans	6,141	5,830	5,962	6,035	6,352	6,721
Subtropical fruits	39,717	42,083	55,195	68,986	81,342	92,615
Sugarcane	375,351	366,084	474,941	549,550	550,654	485,385
Sweet potato and yams	1,118	1,316	1,621	1,796	1,890	1,928
Temperate fruits	2,435	4,260	5,565	7,080	8,809	10,765
Vegetables	65,698	94,360	122,316	149,626	169,511	177,891
Wheat	71,909	74,868	77,624	80,793	75,936	73,379

Source: IMPACT Sept 2008 estimates.

Table C4 reports nutrient use by nutrient and FPU at 10 year intervals. The use of the nutrient of most concern, nitrogen, grows from 10.7 million mt in 2000 to 12.4 million mt in 2050; phosphorus use grows from 4.01 million mt to 4.78 million mt; potassium use grows from 1.47 million mt to 1.77 million mt.

Table C4. India fertilizer use projections, 2000-2050 (million mt nutrient).

	2000	2010	2020	2030	2040	2050
<i>Nitrogen</i>						
Brahmaputra	1.09	0.92	0.75	0.60	0.49	0.41
Brahmari	0.99	0.96	0.99	1.10	1.24	1.39
Cauvery	0.31	0.30	0.32	0.34	0.37	0.40
Chotanagpui	0.41	0.43	0.43	0.45	0.46	0.47
Easten Ghats	0.08	0.08	0.08	0.08	0.08	0.08
Ganges	1.63	1.80	1.92	2.00	2.04	2.02
Godavari	1.02	1.08	1.10	1.11	1.11	1.09
East Coast	0.25	0.25	0.24	0.25	0.26	0.27
Indus	1.48	1.64	1.73	1.80	1.85	1.87
Krishna	1.18	1.40	1.41	1.43	1.52	1.60

Langcang Jiang	0.00	0.00	0.00	0.00	0.00	0.00
Luni	0.48	0.48	0.47	0.46	0.46	0.45
Mahi Tapti	1.47	1.53	1.58	1.71	1.84	2.00
Sahyada	0.34	0.37	0.38	0.40	0.40	0.40
India total	10.73	11.23	11.41	11.74	12.12	12.44
<i>Phosphorus</i>						
Brahmaputra	0.39	0.34	0.29	0.24	0.20	0.16
Brahmari	0.35	0.37	0.39	0.44	0.50	0.56
Cauvery	0.13	0.13	0.14	0.15	0.16	0.17
Chotanagpui	0.14	0.15	0.15	0.15	0.16	0.16
Easten Ghats	0.03	0.03	0.03	0.03	0.03	0.03
Ganges	0.55	0.62	0.66	0.68	0.69	0.68
Godavari	0.38	0.41	0.41	0.42	0.42	0.41
East Coast	0.10	0.10	0.10	0.11	0.11	0.11
Indus	0.51	0.57	0.60	0.63	0.65	0.66
Krishna	0.48	0.56	0.56	0.57	0.60	0.63
Langcang Jiang	0.00	0.00	0.00	0.00	0.00	0.00
Luni	0.22	0.23	0.23	0.22	0.22	0.21
Mahi Tapti	0.61	0.66	0.69	0.73	0.78	0.84
Sahyada	0.13	0.14	0.15	0.15	0.15	0.15
India total	4.01	4.30	4.41	4.53	4.66	4.78
<i>Potassium</i>						
Brahmaputra	0.17	0.15	0.13	0.11	0.09	0.07
Brahmari	0.13	0.13	0.14	0.16	0.18	0.20
Cauvery	0.04	0.04	0.05	0.05	0.05	0.06
Chotanagpui	0.07	0.07	0.08	0.08	0.08	0.08
Easten Ghats	0.01	0.01	0.01	0.01	0.01	0.01
Ganges	0.20	0.22	0.24	0.25	0.26	0.25
Godavari	0.14	0.15	0.16	0.16	0.16	0.16
East Coast	0.03	0.03	0.03	0.04	0.04	0.04
Indus	0.17	0.19	0.20	0.21	0.21	0.21
Krishna	0.17	0.22	0.22	0.22	0.23	0.24
Langcang Jiang	0.00	0.00	0.00	0.00	0.00	0.00
Luni	0.07	0.08	0.08	0.07	0.07	0.07
Mahi Tapti	0.21	0.23	0.24	0.25	0.27	0.29
Sahyada	0.05	0.06	0.06	0.07	0.07	0.07
India total	1.47	1.59	1.63	1.68	1.72	1.77

TECHNICAL APPENDIX D: ESTIMATING THE CONTRIBUTION OF GROUNDWATER IRRIGATION PUMPING TO CO₂ EMISSIONS

Introduction

This note documents the methodology used to develop estimates of the contribution of groundwater pumping for irrigation to the CO₂ emissions of India. It also reports detailed results.

Groundwater pumping requires energy. That energy can come from humans (using treadle pumps), animals, hydro, nuclear power and fossil fuels. Of these, only fossil fuels contribute significantly to CO₂ emissions but they dominate the energy sources for groundwater pumping in India. Two different types of fossil fuels provide pumping energy – diesel and coal. Diesel fuel is transported close to the well location and used to power a diesel pump. Electric pumps rely on electricity produced for the most part from coal although hydro power accounts for about 13 percent and nuclear 3 percent (Source: Table 3.1 in Central Electricity Authority General Review 2005). This electricity is transported, sometimes long distances, to electric pumps near the fields to be irrigated.

Reference measures

This section documents the various reference measures needed to convert crop production and implied irrigation water use to CO₂ emissions.

Energy needed to lift 1000 m³ of water one meter

Using energy from either diesel fuel or coal releases CO₂. The amount of CO₂ released depends on the energy density of the fuel and the efficiency of the pumps. We start with the theoretical relationship that determines the energy needed to lift a mass as expressed in equation (0.1).

$$W = mgh \quad (0.1)$$

W = work (energy) in joules

m = mass in kilograms

g = gravitational constant = about 9.8 meters/sec²

h = height in meters

1 watt (W) = 1 joule (j) used per second

Using this relationship, we find that the energy required to lift 1000 m³ of water a distance of 1 meter is 2.724 kWh ($9.8 * 10^6 \text{ J} / (3.6 * 10^6 \text{ J/kWh})$).⁸

This figure of 2.724 kWh reflects the energy embodied in the lifted water and does not include energy losses either in the pump itself or due to friction as the water is lifted through a pipe. In practice, the efficiency of this process is closer to 20 to 30 percent of the theoretical maximum. If we use a 30 percent efficiency rate (our baseline assumption), the effective energy use is 9.080 kWh per thousand cubic meters of water lifted one meter vertically.

CO₂ released to lift 1000 m³ of water one meter

The amount of CO₂ created in supplying this lift depends on the source of energy. Diesel does not have a unique chemical formulation so the mass and carbon content vary by mixture. A liter of standard diesel fuel contains approximately 0.732 kg carbon, a mass of approximately 0.85 kg and an energy content of

⁸ A liter of water weighs 1 kg. Thus 1,000 m³ = 106 kg. The energy required to lift this mass is 106 kg * 9.8 m/s² * 1m = 9.8 * 106 J. For convenience, we convert this energy use rate to kilowatt hours (kWh), which are typically used to measure electric power usage. A watt measures the rate of energy usage where 1 W = 1 joule used per second. Thus a kWh = 1000 * 1 J/s * 3600 s/hour = 3.6 * 106 J. We can use this to convert the joules needed to lift a cubic meter 1 meter to kWh. The result is $(9.8 * 106 \text{ J}) / (3.6 * 106 \text{ J/kWh}) = 2.724 \text{ kWh}$.

approximately 10.01 kWh. So with diesel pumps the amount of carbon released to lift 1,000 m³ of water one meter is 0.665 kg C (0.732*9.08/10.01). The ratio of carbon emissions to energy content for diesel is 0.0732 kg C per kWh.

If the pump is powered by electricity, the carbon emissions depend on the power source in the electric plants and energy losses in transmission. Table 3 in Bhatt (2000) is reproduced in part below in Table D1. Plants with higher rated generating capacity have lower CO₂ emissions per kWh. The CARMA data set on individual plants in India and their emissions reports similar emissions rates (see <http://finder.geocommons.com/overlays>, and search for CARMA for the data and www.carma.org for the original source). Since we have no specific information on sources of electricity used for groundwater pumping, we use the all-India average value of 1.4894 kg of CO₂ per kWh at the station (0.4062 kg C per kWh). Transmission losses depend on distance from generating facility to pump as well as the transmission technologies. We use a conservative loss value of 5 percent for our baseline, resulting in an effective carbon emissions rate of 0.4265 kg C per kWh at the generating facility or 3.873 kg C to lift 1000 m³ 1 meter. Note that emissions from coal-based electricity are about 5.82 (3.873/0.665) times higher than the rate of emissions with diesel pumps.

Table D1. CO₂ emissions from Indian power plants

Unit size (MW)	Operating CO ₂ emissions at rated capacity (kg CO ₂ /kWh)	Operating CO ₂ emissions at rated capacity, carbon equivalent (kg C/kWh)
500	1.3347	0.3640
210	1.4196	0.3872
120	1.7311	0.4721
62.5	1.8504	0.5047
30	2.0771	0.5665
All India Average	1.4894	0.4062

Source: Table 3 in Bhatt (2000).

Water losses

To capture the net effect on CO₂ emissions we need to consider water losses between the pump and crop from evaporation and seepage. The losses varies by IMPACT Food Production Unit (FPU) and year as Table D2 and Figure D1 show. The IMPACT water model assumes some growth in water use efficiency.

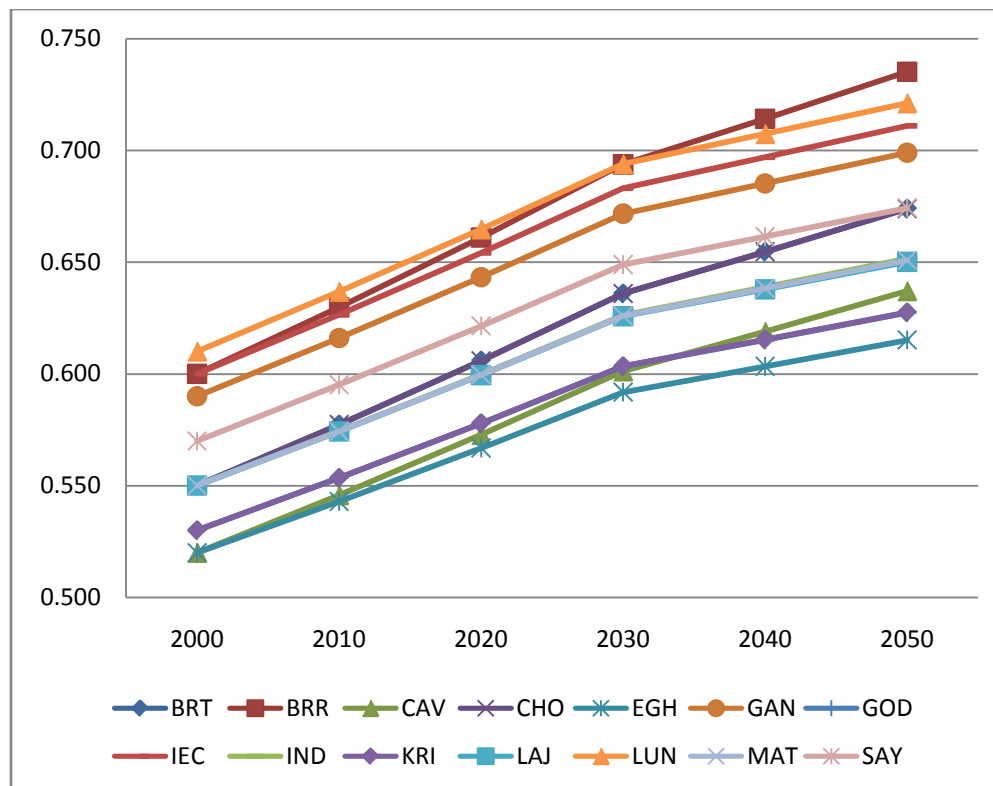
Table D2. Effective Efficiency of Indian Irrigation (liters consumed/liters pumped)

FPU Name	FPU Code	2000	2010	2020	2030	2040	2050
Brahmaputra	BRT	0.550	0.577	0.606	0.636	0.655	0.674
Brahmari	BRR	0.600	0.630	0.661	0.694	0.714	0.735
Cauvery	CAV	0.520	0.546	0.573	0.601	0.619	0.637
Chotanagpui	CHO	0.550	0.577	0.606	0.636	0.655	0.674
Eastern Ghats	EGH	0.520	0.543	0.567	0.592	0.603	0.615
Ganges	GAN	0.590	0.616	0.643	0.672	0.685	0.699
Godavari	GOD	0.530	0.553	0.578	0.603	0.615	0.627
India East Coast	IEC	0.600	0.626	0.654	0.683	0.697	0.711
Indus	IND	0.550	0.574	0.600	0.626	0.639	0.652
Krishna	KRI	0.530	0.553	0.578	0.603	0.615	0.627
Langcang Jiang	LAI	0.550	0.574	0.599	0.626	0.638	0.650

Luni	LUN	0.610	0.637	0.665	0.694	0.707	0.721
Mahi Tapti	MAT	0.550	0.574	0.600	0.626	0.638	0.651
Sahyada	SAY	0.570	0.595	0.621	0.649	0.661	0.674

Source: IMPACT-Water.

Figure D1. Effective Efficiency of Indian Irrigation.



Crop water consumption

The amount of irrigation water needed by a crop depends on the physiology of the plant and the climate conditions where it is grown. The IMPACT-Water model calculates the total amount of irrigation water consumed by each of the IMPACT crops in each of the FPU's based on the plant water needs relative to a widely-used reference crop, alfalfa. These values are reported in Table D3. The individual crop water needs are then reported as a percentage of the reference crop needs per month. For example, in the Sahyada FPU, these percentages range from 25 percent (cotton in January) to 120 percent (rice in June) of the reference crop.

Table D3. Reference crop evapotranspiration rates for Indian FPU's (mm/hectare)

FPU code	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
BRT	59.5	73.49	112.19	122.95	125.73	109.83	106.34	107.24	94.13	88.81	67.78	56.59
BRR	96.18	117.22	176.88	216.09	242.58	174.72	114.6	111.82	111.94	119.3	97.02	88.39
CAV	136.68	143.71	181.96	176.23	168.61	126.56	117.11	116.85	118.57	116.38	108.42	116.54
CHO	81.15	99.58	154.12	186.91	194.55	143.52	111.29	109.17	104.82	108.38	85.41	74.26
EGH	107.46	122.35	168.41	189.15	202.36	153.2	121.36	118.77	114.6	122.12	106.87	103.81
GAN	71.67	91.89	152.06	198.32	229.02	192.11	131.78	120.46	121.38	117.01	81.78	66.03
GOD	113.27	135.06	188.23	221.62	260.31	183.73	128.57	118.12	122.79	133.36	114.24	104.31
IEC	131.3	140.34	182.17	187.67	201.05	173.28	154.3	148.79	135.66	122.94	109.52	113.03
IND	66.09	81.62	130.77	174.54	218.74	206.67	162.75	145.09	138.37	116.59	78.11	62.91
KRI	127.97	144.25	188.89	206.26	223.27	158.6	130.6	122.55	123.82	130.88	119.3	116.67
LAJ	22.13	26.79	50.14	74.94	96.59	114.13	117.4	104.24	86.58	60.82	35.16	24.92
LUN	108.67	123.01	184.6	222.93	273.92	234.15	171.26	146.22	156.74	153.32	113.78	101.88
MAT	108.62	130.37	193.07	234.27	280.5	201.74	129.64	111.88	131.18	144.23	112.74	99.36
SAY	134.3	138.46	171.29	171.91	172.04	124.75	113.07	113.62	118.67	125.1	115.34	121.42

Source: IMPACT Model

Irrigation water sources and growth in use

The use of groundwater in Indian irrigation has grown rapidly as has the role of deep wells. Between 1950 and 2000, canal-based irrigation increased 8.3 million hectares to 18 million hectares or slightly more than doubling. During the same period, however, ground water-based irrigation increased more than 5-fold, from 6 million hectares to 33.6 million hectares, (Source: Ministry of Agriculture, <http://agricoop.nic.in/statistics/sump2.htm>). The mix of well depths has increasingly moved in the direction of deep wells, powered by electric motors. In the Ganges basin, Scott et al, (2007) report.

“The energy to pump groundwater is characterized by electrical power in the west[ern Indus-Ganges Basin] where unit groundwater demand is the highest (deep static water levels with high crop water demand) and diesel power in the east[ern Indus-Ganges Basin] (shallow lifts, lower seasonal irrigation water demand). Although there are exceptions to this general trend, free or flat-rate electricity supply in the west has contributed significantly to groundwater depletion and increasing salinity, while higher cost (though still subsidized) diesel paid for on a unit basis has inhibited rapid groundwater expansion in the east.”

Hence it seems likely that a significant portion of the recent expansion of groundwater-based irrigation has taken place with electric powered pumps drawing from deep aquifers, and that expansion is likely to continue. From the perspective of CO₂ emissions, the likely continued growth of groundwater irrigation using electric pumps is a potentially serious problem because of the much higher carbon emissions per unit of water lifted and the much greater lift needed for deep water pumping.

We do not have data on irrigation water sources by FPU so we use a variety of assumptions to estimate this.

- Tubewell count – Information on the locations of tubewells by district is taken from the 3rd Census of Minor Irrigation Schemes (2000-01) available at <http://mowr.gov.in/micensus/mi3census/index.htm> and summarized by state in Table D4.
- Area irrigated with groundwater – This information is also taken from the 3rd Census of Minor Irrigation Schemes (2000-01) and reported by shallow and deep tubewells by district. Table D4 reports this information aggregated to the state level.

Table D4. Tubewell count, by state and type and area irrigated, 2000

State	Shallow wells			Deep wells	
	Electric count	Diesel count	Area irrigated (000 ha)	Electric count	Area irrigated (000 ha)
Andhra Pradesh	628,662	20,629	1,010.4	87,482	242.5
Arunachal Pradesh	0	0	0	3	0.0
Assam	529	91,383	152.2	760	7.2
Bihar	28,655	597,629	2,287.2	6,190	56.2
Chandigarh	0	0	0.5	0	1.4
Chattisgarh	75,764	474	167.6	5,227	12.6
Delhi	0	0	36.9	0	6.9
Goa	242	382	0.1	60	0.1
Gujarat	52,215	10,515	229.0	94,182	896.2

Haryana	212,090	138,069	2,013.9	24,339	187.5
Himachal Pradesh	1,633	931	7.9	351	10.9
Jammu & Kashmir	1,257	159	5.4	20	0.4
Jarkhand	1,533	716	2.6	28	0.1
Karnataka	513,150	1,476	833.2	32	0.4
Kerala	22,309	265	5.5	227	0.9
Madhya Pradesh	223,552	5,091	705.9	36,398	96.8
Maharashtra	85,183	3,543	114.6	77,223	143.8
Manipur	6	69	0.0	8	
Meghalaya	16	0	0.5	0	0.3
Nagaland	9	0	0	3	
Orissa	138,254	27,279	66.3	4,592	11.2
Pondicherry	0	0	9.9	0	1.6
Punjab	654,983	284,034	5,619.6	9,990	114.8
Rajasthan	28,702	82,548	578.1	56,764	442.1
Tamil Nadu	121,794	27,162	228.5	84,010	98.3
Tripura	11,478	54,250	5.4	168	2.9
Uttar Pradesh	434,597	2,925,095	12,171.1	35,085	1,553.3
Uttaranchal	8,483	42,433	184.6	883	59.4
West Bengal	237	52,923	1,236.2	5,139	138.3
Grand Total	3,245,333	4,367,055	26,662.6	529,164	4,086.0

Source: 3rd Census of Minor Irrigation Schemes (2000-01) available at <http://mowr.gov.in/micensus/mi3census/index.htm>

Finally, we use IMPACT-Water estimates of crop area, production, and irrigation water used in the years beyond 2000.

To summarize our baseline assumptions,

- Deep wells lift water 75 meters, shallows wells lift water 15 meters
- The energy needed to lift 1,000 m³ of water a distance of 1 meter is 2.724 kWh with no efficiency losses.
- The efficiency of both electric and diesel pumps is 30 percent in terms of theoretical energy needed to lift water divided by actual energy used.
- Electricity transmission losses are 5 percent of the total
- The carbon density of diesel fuel is 0.0732 kg C per kWh; the carbon density of electricity is 0.4062 kg C per kWh.
- The carbon emissions to lift a 1000 m³ of water 1 meter are 0.665 kg C with diesel fueled pumps and 3.873 kg C with electric pumps.

Results

Table D5 reports our estimates of irrigation water use by surface and ground, and within ground water use, whether it comes from shallow electric or diesel wells or deep electric wells. The sum of columns 1 and 2 is total irrigation water consumed and is generated by the IMPACT Water model. We have assumed that the growth in groundwater from deep wells will continue and in fact that all future growth in irrigation water comes from deep groundwater sources. The decline in surface and shallow well water use

is the result of increasing efficiency and our assumption of no growth in the availability of water from these sources.

Table D5. Irrigation water use by source, 2000-2050.

Year	Surface water (million m ³) (1)	Ground water (million m ³) (2)	Water from shallow electric (million m ³) (3)	Water from shallow diesel (million m ³) (4)	Water from deep electric (million m ³) (5)	Total columns 3-6 (million m ³) (6)
2000	113,023	209,819	83,795	75,994	35,539	195,328
2010	113,023	260,206	76,337	71,836	98,531	246,705
2020	113,023	266,961	76,927	71,049	106,172	254,148
2030	113,023	268,812	76,164	70,333	109,809	256,306
2040	113,023	268,730	74,142	69,455	112,912	256,509
2050	111,941	261,024	72,406	68,272	109,101	249,779

Note: Total water from carbon-emitting wells (7) is less than total groundwater (3) because carbon-generating water uses are computed for wells which use only diesel or (grid) electric power. Others employ solar, human, animal, wind, etc. and thus are not considered to be major contributors to demand for GHG emitting energy.

Table D6 reports the detailed assumptions used for three scenarios – our baseline, the effects of 15 percent electricity transmission losses instead of 5 percent, average well depth that is deeper than the baseline values and a lower assumed pump efficiencies.

Table D6. Sensitivity analysis assumptions

Assumptions	Baseline	Higher transmission losses	Deeper wells	Greater pump efficiency
Carbon emissions to lift 1000 m ³				
Shallow electric wells	58.24	65.09	77.65	87.36
Shallow diesel wells	9.97	9.97	13.29	14.95
Deep electric wells	291.19	325.45	388.25	436.78
Shallow well depth	15.00	15.00	20.00	15.00
Deep well depth	75.00	75.00	100.00	75.00
Elect transmission losses	0.05	0.15	0.05	0.05
Pump efficiency, diesel	0.30	0.30	0.30	0.20
Pump efficiency, electric	0.30	0.30	0.30	0.20

Table D7 reports the carbon emissions from groundwater pumping to 2050 for each of the four scenarios reported in Table D6. With our baseline assumptions groundwater pumping contributes 16 million mt of carbon in 2000, and the amount more than doubles by 2050 to 36.6 million mt. The bulk of the emissions come from pumping from deep wells; the share of the total growing from 64.7 percent to almost 86.6 percent. Interestingly the growth in emissions slows dramatically by 2040 and actually declines in 2050 as increasing efficiency of water use offsets the growth in share coming from deep wells.

If average electric transmission losses are 15 percent instead of 5 percent, the 2000 total emissions increase by 11 percent. If the average shallow well depth is 20 meters instead of 15 meters and the average deep well depth is 100 meters instead of 75 meters our estimate of total carbon emissions increases by 33.3 percent.

Pump efficiency has a major effect on our estimates of carbon emissions. If pumps are only 20 percent efficient instead of the 30 percent assumption of the baseline, carbon emissions increase by 50 percent over the baseline.

Table D7. Carbon emissions from Indian groundwater pumping for irrigation, three scenarios (000 mt, C).

	2000	2010	2020	2030	2040	2050
Baseline						
Shallow electric	4,880	4,446	4,480	4,436	4,318	4,217
Shallow diesel	758	716	708	701	692	681
Deep electric	10,349	28,691	30,916	31,975	32,879	31,769
Total	15,986	33,853	36,105	37,112	37,889	36,666
Higher transmission losses						
Shallow electric	5,454	4,969	5,007	4,957	4,826	4,713
Shallow diesel	758	716	708	701	692	681
Deep electric	11,566	32,067	34,553	35,737	36,747	35,507
Total	17,778	37,752	40,269	41,395	42,265	40,900
Deeper wells						
Shallow electric	6,507	5,928	5,973	5,914	5,757	5,622
Shallow diesel	1,010	955	944	935	923	908
Deep electric	13,798	38,255	41,221	42,633	43,838	42,359
Total	21,315	45,138	48,139	49,483	50,519	48,889
Lower pump efficiency						
Shallow electric	7,320	6,669	6,720	6,653	6,477	6,325
Shallow diesel	1,136	1,074	1,062	1,052	1,039	1,021
Deep electric	15,523	43,037	46,374	47,963	49,318	47,653
Total	23,979	50,780	54,157	55,668	56,833	55,000

Irrigation Water Consumption under Alternative Energy Price Scenarios

In the IMPACT model, a Cobb-Douglas function is used to specify the relationship between water demand and water price for each water use sector. A relative water price, defined as the ratio of water price in alternative scenario to that in baseline, is used in the function

$$W = W_0 \cdot \left(\frac{P}{P_0} \right)^{\xi}$$

where W is water demand for the alternative price scenario, W_0 water demand in baseline, P - alternative water price, P_0 - water price in baseline, and ξ – price elasticity of water demand.

In many river basins of Asia, groundwater is a major source of water for irrigation and other uses. Energy cost usually accounts for the majority of groundwater pumping cost, therefore an increase of energy price can directly cause reduced pumping, especially for the irrigation sector. We analyzed the shares of groundwater uses for the river basins of India, and the shares of groundwater pumping using electricity and diesel for these basins. The effects of energy price changes on irrigation water use can be estimated using a modified demand function

$$W = W_0 \cdot \left\{ \alpha \cdot \left[(1 + \Delta P_e) \theta_e + (1 + \Delta P_d) \theta_d \right] \cdot \frac{P}{P_0} \right\}^\xi$$

where α is the share of groundwater in total water use, ΔP_e the increase of electricity price, ΔP_d the increase of diesel price, θ_e the share of groundwater pumping using electricity, and θ_d the share of groundwater pumping using diesel.

Workflow process

Computing the total amount of carbon emissions requires determining how much water is coming from the various sources and then multiplying that by the emission rates for each of them. We consider four sources for irrigation water: surface water (no emissions), shallow diesel wells, shallow electric wells, and deep electric wells.

We begin by computing the total amount of water needed as projected by the IMPACT-water model. This means that we take the total beneficial irrigation amount and divide it by the assumed irrigation efficiency. The next task is to split the total amount of water needed into the contributions from the various sources. Since we assume no growth in capacity from surface water or shallow wells, these are assumed to provide (at most) the same amount as in the baseline period of 2000. That is, the amount of water that could be supplied by surface water is subtracted from the amount needed. If more water is needed, the shallow well capacity is removed. Any remaining needs are then met by the deep wells. The allocation between diesel and electric pumping for the shallow wells is done simply by multiplying the shallow water pumped by the fraction of diesel and electric pumps, respectively, among all shallow well pumps. (There is a small fraction of shallow pumping that is done by other means.)

The remaining matter is to establish the baseline capacities for surface water and shallow wells. We have data for several major states indicating the amount of cropland that is groundwater irrigated and the net irrigated area overall. From these, we compute the fraction of irrigated land that is groundwater irrigated. By assuming, for simplicity, that the water usage is constant between the major types of irrigation, the surface water capacity is computed by taking the total water needed in 2000 and multiplying by one minus the fraction of groundwater irrigated area. The remainder, then, comes from groundwater.

The baseline shallow well capacity is computed based on data from the Minor Irrigation Survey which indicate how much land is irrigated by shallow wells and by deep wells. Again, assuming relatively consistent water usage rates, the water provided by shallow wells is considered to be the total groundwater in 2000 multiplied by the fraction of area served by the shallow wells.

Details of the arithmetic are summarized as follows:

Groundwater fraction = groundwater irrigated area / net irrigated area

Baseline ground water = extra water needed in 2000 * (groundwater fraction)

shallow fraction = shallow irrigated area / (deep irrigated area + shallow irrigated area)

baseline shallow water = baseline ground water * shallow fraction

baseline surface water = extra water needed in 2000 * (1.0 - groundwater fraction)

total water needed = extra water needed / FPU water efficiency

Deep carbon = deep water needed * carbon cost of deep

Shallow carbon = shallow water needed * carbon cost of shallow, by diesel and electric

Total carbon = shallow carbon + deep carbon

Water needed = from a hierarchy: take total water needed, subtract out baseline surface water. If anything left: subtract out as much as is needed for baseline shallow water. If anything left, assign to deep water.

Shallow diesel water needed = shallow water needed * (number of shallow diesel / total number of shallow wells) [note that total number of shallow wells is greater than diesel plus electric]

Shallow electric water needed = shallow water needed * (number of shallow electric / total number of shallow wells)

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